DATE

APPLICATION FOR AMENDMENT OF CERTIFICATE (EXTENSION OR DELETION) D6

SEP 0 5 1997

(Pursuant to Section 367.045, Florida Statutes)

| B) | - 1 | | |
|------------|-----|---|----------|
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| , , | 100 | | |

To:

Director, Division of Records and Reporting Florida Public Service Commission 2540 Shumard Oak Boulevard Tallahasses, Florida 32399-0850

The undersigned hereby makes application for amendment of ...
Water Certificate No. 405-W and/or Wastewater Certificate No. 342-8 to ADD territory located in MARIOE County, Florida, and submits the following information:

PART I APPLICANT INFORMATION

A) The full name (as it appears on the certificate), address and telephone number of the applicant:

| | ILBUS UTLLIT | And, And. | |
|---------|--|---|--|
| Name of | utility | | |
| (352) | 622-4949 | (352) 732-4366 | |
| Phone N | lo | Fax No. | |
| 1732 1 | E 25 ^m AVE. street addre | | |
| Office | street addre | 414 | |
| OCALA, | FL. 33370 State | | |
| City | State | Zip Code | |
| PO BOX | 5220 OCALA | , FL. 34470 different from street address | |
| Mailing | address if | different from street address | |
| MIRAGE | PAKIS. HET | | |
| Interne | t address i | f applicable | |
| | | | |

B) The name, address and telephone number of the person to contact concerning this application:

| CHARLES DESCRIEES | (352) 622-4949 | | |
|-------------------------------|----------------|--|--|
| Name | Phone No. | | |
| 1732 ME 25 th AVE. | | | |
| Street address | | | |
| OCALA, FL. 34470 | | | |
| City State | Zip Code | | |

PSC/WAW 8 (Rev. 8/95)

PART II NEED FOR SERVICE

- A) Exhibit "A" If the applicant is requesting an extension of territory, a statement regarding the need for service in the proposed territory, such as anticipated development in the proposed service area.
- B) Exhibit W/A If the applicant is requesting a deletion of territory, a statement specifying the reasons for the proposed deletion, demonstrating that it is in the public interest and explaining the effect of the proposed deletion on the ability of any customer, or potential customer, to receive water and/or wastewater service, including alternative source(s) of service.
- C) Exhibit "B" A statement that to the best of the applicant's knowledge, the provision of service will be consistent with the water and wastewater sections of the local comprehensive plan at the time the application is filed, as approved by the Department of Community Affairs, or, if not, a statement demonstrating why granting the amendment would be in the public interest.

PART III SYSTEM INFORMATION

A) WATER

(1) Exhibit "G" - A statement describing the proposed type(s) of water service to be provided by the extension (i.e., potable, non-potable or both).

a.,

- (2) Exhibit "C" A statement describing the capacity of the existing lines, the capacity of the existing treatment facilities, and the design capacity of the proposed extension.
- (3) Exhibit "C" The numbers and dates of any construction or operating permits issued by the Department of Environmental Protection for the system proposed to be expanded.
- (4) Exhibit "C" A description of the types of customers anticipated to be served by the extension, i.e., single family homes, mobile homes, duplexes, golf course, clubhouse, commercial, etc.

(5) If the utility is requesting a deletion of territory, provide the number of current active connections within the territory to be deleted.

H/A

(6) Exhibit M/A - Evidence the utility owns the land where the water facilities that will serve the proposed territory are, or will be, located. If the utility does not own the land, a copy of the agreement, such as a 99-year lease, which provides for the long term continuous use of the land. The Commission may consider a written easement or other costeffective alternative.

B) WASTEWATER

- (1) Exhibit "D" A statement describing the capacity of the existing lines, the capacity of the existing treatment and disposal facilities, and the design capacity of the proposed extension.
- (2) Exhibit <u>*D*</u> The numbers and dates of any construction or operating permits issued by the Department of Environmental Protection for the system proposed to be expanded.
- (3) Exhibit <u>M/A</u> If the utility is planning to build a new wastewater treatment plant, or upgrade an existing plant to serve the proposed territory, provide a written description of the proposed method(s) of effluent disposal.
- (4) Exhibit W/A If (3) above does not include effluent disposal by means of reuse, provide a statement that describes with particularity the reasons for not using reuse.
- (5) Exhibit _*D* A description of the types of customers anticipated to be served by the extension, i.e., single family homes, mobile homes, duplexes, golf course, clubhouse, commercial, etc.
- (6) If the utility is requesting a deletion of territory, provide the number of current active connections within the territory to be deleted.

W/A

(7) Exhibit M/A - Evidence the utility owns the land where the westewater facilities that will serve the proposed territory are, or will be, located. If the utility does not own the land, a copy of the agreement, such as a 99-year lease, which provides for the long term continuous use of the land. The Commission may consider a written essement or other cost-effective alternative.

PART IV FINANCIAL AND TECHNICAL INFORMATION

- A) Exhibit "E" A statement as to the applicant's technical and financial ability to render reasonably sufficient, adequate and efficient service.
- B) Exhibit "F" A detailed statement regarding the proposed method of financing the construction, and the projected impact on the utility's capital structure.
- C) Provide the number of the most recent Commission order establishing or amending the applicant's rates and charges. PSC-95-0064-S-WS
- Exhibit W/A A statement regarding the projected impact of the extension on the utility's monthly rates and service availability charges.

PART V TERRITORY DESCRIPTION AND MAPS

A) TERRITORY DESCRIPTION

Exhibit "G" - An accurate description of the territory proposed to 13 added or deleted, using township, range and section references as specified in Rule 25-30.030(2), F.A.C. If the water and wastewater territory is different, provide separate descriptions.

B) TERRITORY MAPS

Exhibit "N" - One copy of an official county tax assessment map or other map showing township, range and section with a scale such as 1"=200' or 1"=400' on which the proposed territory to be added or deleted is plotted by use of metes and bounds or quarter sections and with a defined reference point of beginning. If the water and wastewater territory is different, provide separate maps.

C) SYSTEM MAPS

Exhibit "I" - One copy of detailed map(s) showing proposed lines and facilities and the territory proposed to be served. Map(s) shall be of sufficient scale and detail to enable correlation with a description of the territory proposed to be served. Provide separate maps for water and wastewater systems.

PART VI NOTICE OF ACTUAL APPLICATION

- A) Exhibit "J" An affidavit that the notice of actual application was given in accordance with Section 367.045(1)(a), Florida Statutes, and Rule 25-30.030, Florida Administrative Code, by regular mail to the following:
 - the governing body of the municipality, county, or counties in which the system or the territory proposed to be served is located;
 - (2) the privately owned water and wastewater utilities that hold a certificate granted by the Public Service Commission and are located within the county in which the territory proposed to be served is located;
 - (3) if any portion of the proposed territory is within one mile of a county boundary, the utility shall notice the privately owned utilities located in the bordering counties that hold a certificate granted by the Commission;
 - (4) the regional planning council;
 - (5) the Office of Public Counsel;
 - (6) the Public Service Commission's Director of Records and Reporting;
 - (7) the appropriate regional office of the Department of Environmental Protection; and
 - (8) the appropriate water management district.

Copies of the Notice and a list of entities noticed shall accompany the affidavit. THIS MAY BE A LATE-FILED EXHIBIT

- B) Exhibit "R" An affidavit that the notice of actual application was given in accordance with Rule 25-30.030, Florida Administrative Code, by regular mail or personal delivery to each customer of the system. A copy of the notice shall accompany the affidavit. THIS MAY BE A LATE-FILED EXHIBIT.
- C) Exhibit "L" Immediately upon completion of publication, an affidavit that the notice of actual application was published once in a newspaper of general circulation in the territory in accordance with Rule 25-30.030, Florida Administrative Code. A copy of the proof of publication shall accompany the affidavit. THIS MAY BE A LATE-FILED EXHIBIT.

PART VII FILING FEE

Indicate the filling fee enclosed with the application:

\$ 200.00 (for water) and/or \$ 200.00 (for wastewater).

Note: Pursuant to Rule 25-30.020, Florida Administrative Code, the amount of the filing fee is as follows:

- (1) For applications in which the area to be extended or deleted has the proposed capacity to serve up to 100 ERCs, the filing fee shall be \$100.
- (2) For applications in which the area to be extended or deleted has the proposed capacity to serve from 101 to 200 ERCs, the filing fee shall be \$200.
- (3) For applications in which the area to be extended or deleted has the proposed capacity to serve from 201 to 500 ERCs, the filing fee shall be \$500.
- (4) For applications in which the area to be extended or deleted has the proposed capacity to serve from 501 to 2,000 ERCs, the filling fee shall be \$1,000.
- (5) For applications in which the area to be extended or deleted has the proposed capacity to serve from 2,001 to 4,000 ERCs, the filing fee shall be \$1,750.
- (6) For applications in which the area to be extended or deleted has the proposed capacity to serve more than 4,000 ERCs, the filing fee shall be \$2,250.

LEGAL NOTICE FOR

APLICATION FOR AMENDMENT OF CERTIFICATE (EXTENSION)

I

(Section 367.045, Florida Statutes)

LEGAL NOTICE

Notice is hereby given on <u>Sept 8, 1997</u>, pursuant to Section 367.045, Florida Statutes, of the application of <u>TRADEWINDS UTILITIES</u>, INC. to amend its Water Certificate No. <u>405-W</u> and/or Wastewater Certificate No. <u>342-8</u> to <u>ADD</u> territory in <u>MARION</u>, Florida as follows:

Township 14 South, Range 22 East, Section 35 H 4 of NN 4 of SN 4 ANA George # Mayo Subdivision

Any objection to this application must be made in writing within thirty (30) days from this date to the Director, Division of Records and Reporting, Florida Public Service Commission, 2540 Shumard Oak Boulevard, Tallahassee, Florida 32399-0850. A copy of said objection should be mailed to the applicant whose address is:

Tradewinds Utilities, Inc. P.O. Box 5220 Ocala, Fl 34478

PART VIII TARIFF AND ANNUAL REPORTS

- A) Exhibit """ An affidavit that the utility has tariffs and annual reports on file with the Commission.
- B) Exhibit ______ The original and two copies of proposed revisions to the utility's tariff(s) to incorporate the proposed change to the certificated territory. Please refer to Rules 25-9.009 and 25-9.010, Florida Administrative Code, regarding page numbering of tariff sheets before preparing the tariff revisions. (The rules and sample tariff sheets are attached.)

PART IX AFFIDAVIT

| Charles delienses | | cant) do solemnly swear |
|-------------------------------|-----------------------|-------------------------|
| or affirm that the facts a | | |
| exhibits attached thereto are | | |
| fact thereto constitute a c | mplete statement of t | ne matter to which it |
| celates. | | |
| | /1/ 11 -1/77 | 7 |
| ⊸ | | up _ |
| BY: | MOVENIL IIII | <u> </u> |
| | Applicant's 3/ghature | |
| ₹ | | |
| | Charles delienses / | |
| | Applicant's Name (Typ | oed) |
| | | |
| | President | |
| | Applicant's Title ' | |
| | | |
| | - 7 () | |
| | | |
| ribed and sworn to before me | 87 6 | of |

* If the applicant is a corporation, the affiliation use the president or other officer authorized by the by-laws of the Corporation to act

If the applicant is a corporation, the atfillmvitumoust be made by the president or other officer authorized by the by-laws of the corporation to act for it. If the applicant is a partnership or association, a member of the organization authorized to make such affidavit shall execute same.

Second Revised Sheet No. 3.0 Cancels irst Revised Sheet No. 3.0

NAME OF COMPANY Tradewinds Utilities, Inc.

WATER TARIFF

TERRITORY SERVED

CERTIFICATE NUMBER - 405-W

COUNTY - Marion

COMMISSION ORDER(s) APPROVING TERRITORY SERVED -

| Order Number | Date Issued | Docket Number | Filing Type |
|------------------|-------------|---------------|-------------|
| 12184 | | 030110-WS | Original |
| 13230 | | 040088~WU | |
| 18312 | | 870212-W5 | |
| 19688 | | 680552-WJ | |
| PSC-93-0368-FOF- | W5 | 921260-WS | |
| PSC-93-0900-POF- | WS . | 921260-WS | |
| | | | |

Charles dellenses ISSUING OFFICER

President TITLE

Second Revised Sheet No. 4.0 Cancels First Revised Sheet No. 4.0

NAME OF COMPANY Tradewinds Utilities, Inc WATER TARIFF

DESCRIPTION OF TERRITORY SERVED

Township 14 South, Range 22 East, Section 34

- 1. 5 % of NW % (Tradewinds Village) and
- 2. N 666 ft of SE 4 (Countryside Estates 1st Addition) and 3. S 963 ft of NE 4 (Countryside Estates) and 4. S 699 ft of SW 4 (Pearl Brittain Plaza) and

Township 14 South, Range 22 East, Section 35

5. N 5 of NW 4 of SW 4 (George S. Mayo)

Charles deMenses ISSUING OFFICER

President TITLE

Second Revised Sheet No. 3.0 Cancels First Revised Sheet No. 3.0

NAME OF COMPANY Tradewinds Utilities, Inc.

WASTEWATER TARIFF

TERRITORY SERVED

CERTIFICATE NUMBER - 342-8

COUNTY - Marion

COMMISSION ORDER(s) APPROVING TERRITORY SERVED -

| Date Issued | Docket Number | Filing Type |
|-------------|---------------|--|
| | 830110-WS | |
| | 840089~WU | |
| | 870212-WS | |
| | 880552-WS | |
| 1 | 921260-WS | |
| • | 921260-W5 | |
| | | 840089-WU 870212-WS 880552-WS 921260-WS |

Charles deMenzes
ISSUING OFFICER
President
TITLE

Second Revised Sheet No. 4.0 Cancels First Revised Sheet No. 4.0

NAME OF COMPANY Tradewinds Utilities, Inc.

WASTEWATER TARIFF

DESCRIPTION OF TERRITORY SERVED

Township 14 South, Range 22 East, Section 34

- 1. S \flat of NW \Lsh (Tradewinds Village) and 2. N 666 ft of SE \Lsh (Countryside Estates 1st Addition) and 3. S 699 ft of SW \Lsh (Pearl Brittain Plaza) and

Township 14 South, Range 22 East, Section 35

4. N h of NW 4 of SW 4 (George S. Mayo).

Charles delienses ISSUING OFFICER

President TITLE

Exhibit "A"

Need for Service

Tradewinds Utilities, Inc. currently services three (3) subdivisions and a shopping plaza in the Northeast Section of Ocala, Florida. Adjacent to these subdivisions on the East side, is an old subdivision called George S. Mayo consisting of twenty three (23) quadraplexs and fifteen (15) industrial buildings. These structures have been constructed over the last twenty (20) years using well and septic tanks. Over the last few years, the Marion County Health Department has been testing certain wells and has found over 27% failure rate. Mr. David Townsend, Marion County Environmental Administrator has been requesting Tradewinds Utilities, Inc. to extend our services to this area for some time now.

After communicating with certain parties within said area, it was determined that it would be financial feasible to extend our services to the George S. Mayo subdivision.

Certified letters have been sent to all property owners notifying them of our intention to extend water and wastewater services to this area per Florida Statues 381.00655 (1) (a).

Exhibit "B"

To the best of our knowledge, the provision of service will be consistent with the water and wastewater sections of the local comprehensive plan at the time the application is filed, as approved by the Department of Community Affairs.

Exhibit "C"

Water System Information

- (1) Water service to be provided by the extension to include potable, nonpotable and fire flow.
- (2) Existing lines (8") are sufficient to serve the extended area and the water treatment plant is at 20% capacity.
- (3) Preliminary Engineering has been done (Exhibit "I") and application has not been made to Department of Environmental Protection for extension of the existing system.
- (4) Twenty Three (23) quadraplexa consisting of low income households and approximately fifteen (15) industrial buildings which would use potable water only.

Exhibit "D"

Wastewater System Information

- (1) The current wastewater system, 99,000 gpd, is currently operating at less than fifty (50%) percent capacity. The addition of the extension would add an additional seventeen (17%) percent to the daily flow.
- (2) Please see Exhibit "C" (3).(5) Please see Exhibit "C" (4).

Exhibit "E"

Technical Information

Tradewinds Utilities, Inc. has been in operation since 1983 under the same management personnel. Since inception, Tradewinds Utilities, Inc. has extended it's service area a total of three (3) times. Operations are contracted out to certified operators and annual Florida Public Service Commission Reporting has been done by our management company.

Exhibit "F"

Financial Information

Our management firm has submitted to the DEP State Revolving Fund (Tim Banks) for financing of the water part of the extension, and has received approval from a local institution for the wastewater part of the extension. The SRF portion would be approximately \$179,000.00, Bank financing for \$100,000.00 and Management investment of approximately \$50,000.00.

Tradewinds Utilities, Inc. will cover the interest payments on the notes until such time as the customers affected by the extension have hooked up following which the notes will begin to amortize over a twenty (20) year period.

Exhibit "G"

Territory Description

Township 14 South, Range 22 East, Section 35 N % of NW % of SW % AKA George S. Mayo Subdivision

Exhibit "H"

Territory Map

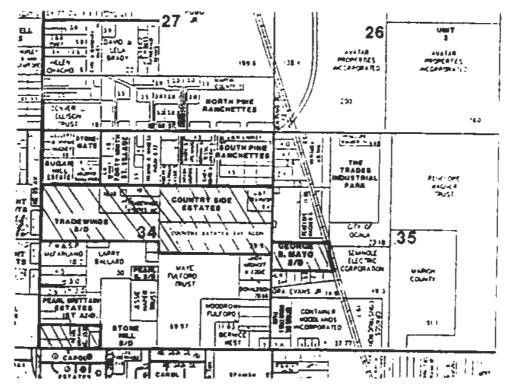


Exhibit "J"

Affidavit

I Charles deMenzes do solemnly swear or affirm that the notice of actual application was given in accordance with Section 367.045(1)(a), Florida Statutes, and Rule 25-30.030, Florida Administrative Code, by regular mail to the following:

- the governing body of the county in which the territory proposed to be served is located;
- (2) the privately owned water and wastewater utilities that hold a certificate granted by the Public Service Commission and are located within the county in which the territory proposed to be served is located;
- (3) the territory to be served is not within one mile of the county boundary;
- (4) the regional planning council;

(5) the Office of Public Counsel;

- (6) the Public Service Commission's Director of Records and Reportings
- (7) the appropriate regional office of the Department of Environmental Protection; and

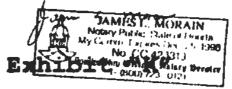
(8) the appropriate water management district.

Charles deMenzes

President

Subscribed and sworn to before me this_ 10th day of September, 1997.

Notary Public



Signatu

This will be a late filed exhibit.

Exhibit "L"

This will be a late filed exhibit.

Exhibit "M"

I, Charles deMenzes, do solemnly affind that Traderinds Utilities, Inc. has tariffs and annual reports on all with the comments.

Sy: MANA A Charles deManag, Pres

Exhibit "J"

Affidavit

I Charles deMenzes do solemnly swear or affirm that the notice of actual application was given in accordance with Section 367.045(1)(a), Florida Statutes, and Rule 25-30.030, Florida Administrative Code, by regular mail to the following:

- the governing body of the county in which the territory proposed to be served is located;
- (2) the privately owned water and wastewater utilities that hold a certificate granted by the Public Service Commission and are located within the county in which the territory proposed to be served is located;
- (3) the territory to be served is not within one mile of the county boundary;
- (4) the regional planning council;

(5) the Office of Public Counsel;

- (6) the Public Service Commission's Director of Records and Reporting;
- (7) the appropriate regional office of the Dapartment of Environmental Protection; and

(8) the appropriate water management/district.

Y: HANGE

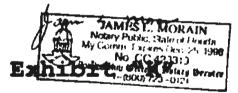
Applicant's Signatu

Charles deMonzes

President

Subscribed and sworn to before me this 10th day of September, 1997.

Notary Public



This will be a late filed exhibit.

Exhibit "L"

This will be a late filed exhibit.

Exhibit "M"

I, Charles deMenzes, do solemnly affind that Tradesinds Utilities, Inc. has tariffs and annual reports on 114 with the comments.

By: The demander, from ...



Public Service Commission

CERTIFICATE NUMBER

405 - H

Upon consideration of the record it is hereby ORDERED that authority be and is hereby granted to TRADEWINDS UTILITIES, INC.

Whose principal address is

P.O. Box 5220

Ox Ox C

Ocala, FL 32678-5220 (Marion County)

to provide <u>water</u> service in accordance with the provisions of Chapter 367, Rorida Statutes, the Rules, Regulations and Orders of this Commission in the fertitory described by the Orders of this Commission.

This Certificate shall remain in force and effect until suppended, cancelled or revoked by Orders of this Commission.

 ORDER
 12184
 DOCKET
 830110-WS

 ORDER
 13238
 DOCKET
 840088-WU

 ORDER
 18312
 DOCKET
 870212-WS

 ORDER
 19688
 DOCKET
 880552-WS

BY ORDER OF THE FLORIDA PUBLIC SERVICE COMMISSION



Director Division selfrecords & Recording



Public Service Commission

CERTIFICATE NUMBER

405 - W

| ORDER | PSC-93-0368-F0F-WS | DOCKET . | 921260-WS |
|-------|--------------------|----------|-----------|
| ORDER | PSC-93-0900-F0F-WS | DOCKET . | 921260-WS |
| ORDER | | DOCKET . | |

BY ORDER OF THE FLORIDA PUBLIC SERVICE COMMISSION





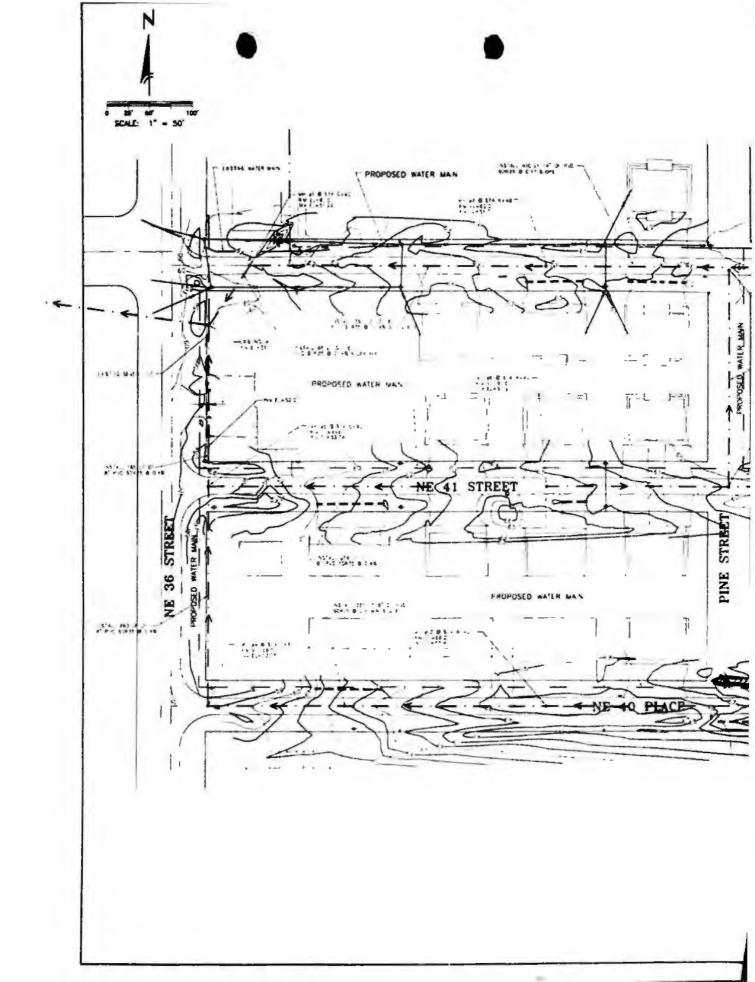
Public Service Commission

CERTIFICATE NUMBER

342 - 5

| ORDER | PSC-93-0368-F0F-WS | DOCKET | 921260-WS |
|-------|--------------------|--------|-----------|
| ORDER | PSC-93-0900-F0F-WS | DOCKET | 921260-W5 |
| ORDER | | DOCKET | |
| ORDER | | DOCKET | |
| ORDER | | DOCKET | |
| | | | |
| ORDER | | DOCKET | |

BY ORDER OF THE FLORIDA PUBLIC SERVICE COMMISSION



APPLICATION FOR AMENDMENT OF CERTIFICATE (EXTENSION OR DELETION) D 6 0 8 ...

DATE SEP 0 5 1997

(Pursuant to Section 367.045, Florida Statutes)

| To: | Director, Division of Records and Reporting Florida Public Service Commission |
|--------------------------|--|
| | 2540 Shumard Oak Boulevard Tallahassee, Florida 32399-0850 |
| Water C 342 submit | The undersigned hereby makes application for amendment of certificate No. 405-W and/or Wastewater Certificate(No8 to ADD territory located in MARION County, Florida, and see the following information: |
| PART I | APPLICANT IMPORMATION |
| | A) The full name (as it appears on the certificate), address and telephone number of the applicant: |
| | TRADENINDS UTILITIES, INC. |
| | Name of utility |
| | (352) 622-4949 (352) 732-4366 |
| | Phone No. Fax No. |
| | 1732 HE 25 ^{TB} AVE. |
| | Office street address |
| | OCALA, FL. 33370 |
| | City State Zip Code |
| | PO BOX 5220 OCALA, FL. 34479 |
| | Mailing address if different from street address |
| | |
| | MIRASPRAKIS.NET Internet address if applicable |
| | The state of the s |
| | B) The name, address and telephone number of the person to contact concerning this application: |
| | CHARLES DEMENSES (352) 622-4949 |
| | Name Phone No. |
| | 1732 ME 25 ^{TR} AVE. |
| | Street address |
| | OCALA, FL. 34470 |
| | City State Zip Code |
| | |
| | TRADEWINDS UTILITIES, INC. 01-91 |
| | Phone 622-4949 P.O. Boy 5220 |

| | TRADEWINDS UTILITIES, INC. 01-00 Phone 622-4949 P.O. Box 5220 Ocala, Fl 34478 | 7187 |
|----------------------------|---|------------|
| PAY TO THE ORDER OF. | FLORIDA PUBLIC SERVICE COMMISSION | 09/04/97 |
| | **\$400 DOLLARS AND .00 CENTS | DOLLARS II |
| | ANSOUTH AmSouth Bank of Florida | 000 0111 |

EXTANSION APPLICATION