MIKE SMALLRIDGE UTILITY CONSULTANT 1645 W. MAIN ST. INVERNESS, FL. 34450

PHONE 352-302-7406

090060

RECEIVED-FFSC 09 JAN 30 AM 9: 27

1/27/09

Office of Commission Clerk Florida Public Service Commission 2540 Shumard Oak Blvd. Tallahassee, FL. 32399

RE: Application for staff assisted rate case for Neighborhood Utilities, Duval County Florida.

Dear Commission Clerk,

On behalf of my client, Neighborhood Utilities, please find the enclosed completed application for a staff assisted rate case along with the attached exhibits.

Future correspondence should be made to me at the above address or email at utilityconsultant@yahoo.com or on my cell phone at 352-302-7406.

	Sincerely,
СОМ	(N).
ECR	Mike Smallridge
GCL	Mike Smallridge Utility Consulting.
OPC	
RCP	
SSC	
SGA	
ADM	
CLK Non	ge_
	1

DOCUMENT NUMBER-DATE

00773 JAN 30 8

FPSC-COMMISSION CLERK

FLORIDA PUBLIC SERVICE COMMISSION

APPLICATION FOR A STAFF ASSISTED RATE CASE

<u>Ger</u>	neral Data		. 1.1.
Α.	Name of utility <u>Nei</u>	ghborhood Ut.	ilities
B.	Address 300 W.	est Adams 57 FC 32202-4343	. Svite 540.
	1. Telephone Nos. (90	4, 350-9824	
	2. County Duval		Nearest City
	3. General area served	Victoria Forest, 7 herokee Cove	Timber Creek, Chaffee
C.	Authority:	//2	0
	Water Certificate No.	430-W	Date Received 9-28-84 Date Received 1
		te No/A	Date Received
	3. Date utility started or	perations: Water <u>9/2</u>	8/84/Wastewater/_A
D.	How system was acquired		
	If utility was purchased, given	ve date	Amount Paid
	Name of Seller		
	2. Was seller affiliated v	vith present owners?	
	3. Did you purchase:	Stock	or assets only
E.		ration, Partnership or Sole Pro	prietorship
F.	Ownership & Officers:	·	
			Percent
	Name	<u>Title</u>	Ownership
<u>L</u> 1	arry 0'Steen	<u>President</u>	
<u>Do</u>	rothy 0 5 teen	Vile - President	0 %
1	tra Mc Bane	Vice-President	<u> </u>

PSC/ECR 2 (Rev. 3/02)

١.

	G.	List of Associated Companies and Addresses:
		1 <i>NIA</i>
		2
		Z
		3
	H.	If you have retained an attorney and/or a consultant to represent the utility for this application, furnish the name(s) and address(es):
		mike SMAIIRI dge Utility Consultant
		1645 W. Main 55
		Inverness, Fl. 34450
l .	Acc	counting Data
	A.	Outside Accountant
		1. Name
		2. Firm
		3. Address
		4. Telephone ()
	B.	Individual to contact on accounting matters:
		1. Name Larry O'Steen
		2. Telephone (904) 350-9824
	C.	Location of books and records Utility Office - Jackson Ville
	D.	Have you filed an Annual Report with the Commission?
		Date Last Filed 2007
	_	
	E.	Has your latest semiannual regulatory assessment fee payment been made (January 30 or July 30 whichever is applicable)?
	F.	Basic Rate Base Data (Most recent two years)
		1. Water 20 <i>0</i> 6 20 <i>0</i> 7
		612 944 617 573
		Cost of Plant In Service: \$ \(\frac{\(\text{L} \cdot \) \(\text{T} \text{T} \) \(\frac{\(\text{L} \cdot \) \(\text{T} \text{T} \) \(\text{T} \) \(\text{T} \text{T} \) \(\text{T} \) \(\text{T} \text{T} \) \(\text{T} \) \(\text{T} \) \(\text{T} \text{T} \) \(\text
		Less Accumulated Depreciation: 370/3/1 365/3/0
		Less Contributed Plant: $\frac{387,777}{(113,021)}$
		Net Owner's Investment: \$\(\begin{align*} \frac{1}{3}, 94\end{align*}\) \$\(\begin{align*} \frac{1}{3}, 94\end{align*}\)

	2.	Wastewater	20	20
		Cost of Plant In Service:	\$/A	\$ _ \[\sigmu \] //t
		Less Accumulated Depreciation:		
		Less Contributed Plant:		
		New Owner's Investment:	\$	\$
G	Basic	c Income Statement (Most recent two years):		
٠.	20010	s mosmo statomont (most rocont the years).		_
	1.	Water	20 06	₂₀ <u>8</u> 7
		Revenues (By Class); a Regiden l a./	s 84,519	s <u>92577</u>
		b. <u>Commercial</u>	1084	
		c. Other	1/59	
		Total Operating Revenues:	\$ 86,762	\$ 40,511
		Less Expenses:		
		a. Salaries & Wages - Employees b. Salaries & Wages - Officers,	18,000 6050 956 6026 63919 8767 1287	24,000 (0153 4253 4964 4964 49623 72403
		I. Insurance Expense m. Regulatory Commission Expense n. Bad Debt Expense o. Miscellaneous Expense p. Depreciation Expense q. Property Taxes r. Other Taxes s. Income Taxes	1911 1464 10663 17369 4429 788	5006 225 4614 17406 4044 1159
			(31,795)	(33,227)

Operating Income (Loss)

2.	Wastewater		20		20
	Revenues (By 0	Class):	ا م	l _e a	4-1.11.
			//	" –	10/4
	C				
	Total Operating	Revenues:	\$	\$	
	Less Expenses	d			
		Wages - Employees	\$	\$	
	b. Salaries & Directors, Stockholde	: Wages - Officers, & Majority			
		Pensions & Benefits			
	d. Purchased	Wastewater Treatment			
		emoval Expense			
	f. Purchased				
	g. Fuel for Po	ower Production			
	i. Materials				
	j. Contractua				
	k. Rents				
		ation Expenses			
	m. Insurance	•			
	n. Regulatory o. Bad Debt	Commission Expense			
		eous Expense			
		on Expense			
	r. Property T				
	s. Other Taxe				
	t. Income Ta	xes			
	Operating Incor	ne (Loss)	\$	 \$	
H.	Outstanding De	bt:			
		Date	Balance	Interest	Expiration
	<u>Creditor</u>	<u>Borrowed</u>	<u>Due</u>	<u>Rate</u>	<u>Date</u>
1	SEE AttAck	bed Exhibit A			
	<u></u>	<u> </u>			
2	_				
3					
4		_			
l.	Indicate Type of	of Tax Return Filed:			
	X	Form 1120 - Corp	ooration		
		_ Form 1120S - Subc	hapter S Corporation	1	
		– _ Form 1065 - Parti			
			•	Dana ada da 100 (100)	
		_ Form 1040 - Sche	saule o - maivigual (F	-roprieto(SNID)	

	ina	arina Data
I.	_	ering Data
	Α.	Outside Engineering Consultant:
		1. Name
		2. Firm
		3. Address
		4. Telephone ()
	В.	Individual to contact on engineering matters:
		1. Name Larry O'Steen
		1. Name <u>Larry 0'Steen</u> 2. Telephone <u>904</u> 350-9824
	C.	Is the utility under citation by the Department of Environmental Protection (DEP) or county health department? If yes, explain.
	D.	List any known service deficiencies and steps taken to remedy problems.
	E.	Name of plant operator (s) and DEP operator certificate number (s) held. U.S. Water Services
	F.	Is the utility serving customers outside of its certificated area?
		If yes, explain
	G.	Wastewater:
		Gallons per day capacity of treatment facilities existing proposed
		Type and make of present treatment facilities
		Approximate average daily flow of treatment plant effluent
		4. Approximate length of wastewater mains: Size (dispute)
		Size (diameter)

Number of manholes _____

How do you measure treatment plant effluent? ____

Number of liftstations _____

5.

6.

7.

	8.	Is the treatment plant effluent chlorinated? If yes, what is the normal dosage rate?
	9.	Tap in fees - Wastewater \$
	10.	Service availability fees - Wastewater \$
	11.	Note DEP Treatment Plant Certificate Number and date of expiration: NumberExpiration Date
	12.	Total gallons treated during most recent twelve months
	13.	Wastewater treatment purchased during most recent twelve months
H.	Wat	ter
	1.	Gallons per day capacity of treatment facilities existing 540,000 under construction proposed
	2.	Type of treatment Chloring Hion
	3.	Approximate average daily flow of treated water 113,304
	4.	Source of water supply Ground Water Well
	5.	Types of chemicals used and their normal dosage rates
	6.	Number of wells in service Total capacity in gallons per minute (gpm)
		Diameter/Depth 413 / 600 1218 / 1000 / Motor horsepower 5 10 350 Pump capacity (gpm) 350
	7.	Reservoirs and/or hydropneumatic tanks:
		Description Pocumatic Steel Steel Capacity Z000 Z0,000 40,000
	8.	High service pumping:
		Motor horsepower 15 15 20
	9.	How do you measure treatment plant production?
	10.	Approximate feet of water mains:
		Size (diameter) 10" 8" 6" 2" 2" 2" 2" 3,589 7" 7818 7937 3165
	11.	Note any fire flow requirements and imposing government agency
	12.	Number of fire hydrants in service 22.

	13.	Do you have a meter change o	. •	
	14. N	Meter installation or tap in feet	s - Water \$	
	15. 8	Service availability fees - Wate	er\$ <u>90</u>	
	16. H	las the existing treatment fac	ility been approved by DEP?	<u>455</u>
	17. T	otal gallons pumped during n	nost recent twelve months	41,356,000
	18. T	otal gallons sold during most	recent twelve months	40,450,000
	19. 0	Gallons unaccounted for during	g most recent twelve months	906,000
	20.	Gallons purchased during mos	st recent twelve months	
IV. <u>Rate [</u>	<u>Data</u>			
Α.	Individ	lual to contact on tariff matters	s:	
		mike <	malle idge	
	2. T	elephone Number 352	302-1406.	
B.	Sched	lule of present rates (Attach a	dditional sheets if more space	e is needed):
	1. V	Vater:		
	а	ı. Residential Water	SEE Attacked	Exhibit B
	b			
	C	•		
	d	I. Other		
	2. V	Vastewater:		
	а	n. Residential Wastewater	NA	•
	b			
	C	•		
	d	I. Other		
C.	Numb	er of Customers (Most recent	two years):	
	1. V	Vater Metered	20 06	20 <u>0</u> 7
	а	. Residential	422	<u> 429</u>
	b		<u> </u>	
	C			
	d	l. Other - Specify		
	2. V	Vater Unmetered	20 06	20 <u>0</u> 7
	а	. Residential	0	
	b		- O	
	C		<u> </u>	
	d	l. Other - Specify	<u> </u>	<i>[)</i>

3.	Wastewater	20	20	
	a. Residentialb. General Servicec. Special Contractd. Other - Specify			
_	ALLY ()STEEN	the undersigned owner, officer, of Florida and subject to the con-	or partner of the above named trol and jurisdiction of the Florida	
Public Service C	Commission, certify that th	e statements set forth herein are	e true and correct to the best of	
my information,	knowledge and belief.	Signed Title	10 mg	

Notice:

Section 837.06, Florida Statutes, provides that any person who knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his duty shall be guilty of a misdemeanor of the second degree.



FLORIDA PUBLIC SERVICE COMMISSION

CERTIFICATE NUMBER

Upon	cor	nside	eratio	n	of	the	record	it	is	hereby	ORDERED	that
author	rity	be	and	is	he	ereby	grante	d	to	-		

NEIG	HBORHOOD UTILITIES, INC.	
	oal address is . Box 17635	,
Jack	sonville, Florida 32216	(DUVAL)
to provide	waterSe	rvice in accordance with the
tions and Ord	Chapter 367, Florida lers of this Commissi of this Commission	Statutes, the Rules, Regula- on in the territory described
		in force and effect until y Orders of this Commission.
ORDER 1372	3DATED_ 9-28-8	DOCKET 840063-WU
ORDER	DATED	DOCKET
ORDER	DATED	DOCKET
ORDER	DATED	DOCKET
FLC	BY ORDER (RIDA PÜBLIC SERV	
		Stwe pible
200		Commission Clerk

EXHIBIT "A"

Outstanding Debt.

Last Update: 1/10/2009 10:00:39 PM ET

Au Valorem Taxes and Non-Ad Valorem Assessments

The information contained herein does not constitute a title search and should not be relied on as such

Account Number	Тах Туре	Tax Year
008983-1002	REAL ESTATE	2008
Mailing Address	Physical Address	
NEIGHBORHOOD UTILITES INC 200 N LAURA ST 10TH FLOOR	10487 TIMBER CRE	EK LN 32221

GEO Number

312S25E-0089831002

Assessed Value	Exempt Amount	Taxable Value
\$12,881.00	See below	See Below
Exemption Detail	Millage Code	Escrow Code
NO EXEMPTIONS	GS	

Legal Description

JACKSONVILLE FL 32202-3517

10487 TIMBER CREEK LN 38-43 31-2S-25E TIMBER CREEK UNIT ONE TRACT A O/R BK 5687-1474

Taxing Authority	Rate	Exemption Amount	Taxable Value	Taxes Levied
CITY OF JACKSONVILLE	8.4841	0	\$12,881	\$109.28
ST JOHNS RIVER WTR MGMT DIST	0.4158	0	\$12,881	\$5.36
ST JOHNS RIVER BASIN	0.0000	0	\$12,881	\$0.00
FL INLAND NAVIGATION	0.0345	0	\$12,881	\$0.44
BEACHES HOSPITAL	0.0000	0	\$12,881	\$0.00
USD	0.0000	0	\$12,881	\$0.00
SCHOOLS	7.5610	0	\$12,881	\$97.40
	And the latest and th	16.4954	l I	\$212.48

Non-Ad Valorem Assessments

Total	Assessments	\$0.00
Taxes	& Assessments	\$212,48

If Paid By	Amount Due
11/30/2008	\$203.98
12/31/2008	\$206.11
1/31/2009	\$208.23
2/28/2009	\$210.36
3/31/2009	\$212.48

Prior Years Payment History

	Prior Year Taxes Due	
NO DELINQUENT TAXES		

Last Update: 1/10/2009 10:00:39 PM ET

Ad Valorem Taxes and Non-Ad Valorem Assessments

The information contained herein does not constitute a title search and should not be relied on as such.

Account Number	Tax Type	Tax Year
008957-2210	REAL ESTATE	2008

Mailing Address NEIGHBORHOOD UTILITIES, INC 200 N LAURA ST JACKSONVILLE FL 32202-3502

Physical Address

GEO Number

312S25E-0089572210

Assessed Value	Exempt Amount	Taxable Value
\$738.00	See below	See Below
Exemption Detail	Millage Code	Escrow Code
NO EXEMPTIONS	GS	

Legal Description

45-021 31-2S-25E VICTORIA FOREST UNIT 02 TRACT A O/R BK 6923-2139

Ad Valorem Taxes				
Taxing Authority	Rate	Exemption Amount	Taxable Value	Taxes Levied
CITY OF JACKSONVILLE	8.4841	0	\$738	\$6.26
ST JOHNS RIVER WTR MGMT DIST	0.4158	0	\$738	\$0.31
ST JOHNS RIVER BASIN	0.0000	0	\$738	\$0.00
FL INLAND NAVIGATION	0.0345	0	\$738	\$0.03
BEACHES HOSPITAL	0.0000	0	\$738	\$0.00
USD	0.0000	0	\$738	\$0.00
SCHOOLS	7.5610	0	\$738	\$5.58
		16.49	54	\$12.18

Non-Ad Valorem Assessments

Taxes & Assessments \$12.18

Total Assessments	#A AA
Total Assessments	\$0.00
	1

If Paid By	Amount Due
11/30/2008	\$11.69
12/31/2008	\$11.81
1/31/2009	\$11.94
2/28/2009	\$12.06
3/31/2009	\$12.18

Prior Years Payment History

Last Update: 1/10/2009 10:00:39 PM ET

Ad Valorem Taxes and Non-Ad Valorem Assessments

The information contained herein does not constitute a title search and should not be relied on as such

Account Number	Тах Туре	Tax Year
815059-0000	TANGIBLE PROPERTY	2008

Mailing Address NEIGHBORHOOD UTILITIES INC TIMBER CREEK 815059 300 W ADAMS ST #540 JACKSONVILLE FL 32202-3500

Physical Address

10487 TIMBER CREEK LN 32221

GEO Number 000000000

Assessed Value	Exempt Amount	Taxable Value
\$227,499.00	See below	See Below
Exemption Detail	Millage Code	Escrow Code
A1 25000	GS	

Α1 25000

and the second of the second o

Legal Description

00-00-00000 10487 TIMBER CREEK LN

Taxing Authority	Rate	Exemption Amount	Taxable Value	Taxes Levied
CITY OF JACKSONVILLE	8.4841	25,000	\$202,499	\$1,718.02
ST JOHNS RIVER WTR MGMT DIST	0.4158	25,000	\$202,499	\$84.2 0
ST JOHNS RIVER BASIN	0.0000	0	\$0	\$0.00
FL INLAND NAVIGATION	0.0345	25,000	\$202,499	\$6.99
BEACHES HOSPITAL	0.0000	0	\$0	\$0.00
USD	0.0000	0	\$0	\$0.00
SCHOOLS	7.5610	25,000	\$202,499	\$1,531.09
		16.4	954	\$3,340.30

Non-Ad Valorem Assessments

Total Assessments	\$0.00
Taxes & Assessments	\$3,340,30

If Paid By	Amount Due
11/30/2008	\$3,206.69
12/31/2008	\$3,240.09
1/31/2009	\$3,273,49
2/28/2009	\$3,306.90
3/31/2009	\$3,340.30

Prior Years Payment History

	Prior Year Taxes Due					
Year	Folio	Status	Cert.	Cert. Yr.	Amount	
2007	5008309		331	2007	\$4,473.29	
1997	5010771		2108	1997	\$8,487.05	
<u> 1996</u>	5010771		1891	1996	\$23,593.73	
1995	5010771		2021	1995	\$30,029.49	
1994	5010771		1982	1994	\$25,473.00	
<u>1993</u>	5010771		2305	1993	\$26,560.71	
				Prior Years Total	\$118,617.27	
	If Paid By		Prior Years Due			
	1/31/2009		\$118,617.27			

Payment for delinquent real estate taxes must be in the form of a money order, cashier's check or certified check. Delinquent tangible taxes may be paid with a personal or business check.

Click Here To Pay Now

NUI PAYABLES 12/31/2007

ACCT NO,	PAYEE	PRINCIPAL	12/31/2007 INTEREST	TOTAL	PRINCIPAL INCR	PRINCIPAL PAID	INTEREST ACCRUED	INTEREST PAID		12/31 PRIN BALANCE	/2008 INT BALANCE	TOTAL DUE
NOTES	1					•	1			•		
	GWWHITMIRE	5000		9028				0	2.22(note 1)			9,139
	ST JOHNS SERVICE CO	30350	37684	68034					5.086(note 2))		69,578
232	BETTY C O'STEEN	34000	0	34000	0	0	3,740	2,805	11			34935
	232 TOTAL											
	NTS PAYABLE											
	COLT ADVANCE	500	0	500								500
231	C R WINTZ		I	10500	975	975					<u> </u>	10,500
791	WLO MGT FEES			59000	24000	· · · · · · · · · · · · · · · · · · ·					·	83,000
	WLO MGT FEES WLO GEN LEASE			1980				0			ļ <u></u>	7,801
	Judy Stone	L	L	1900		L		· · · · · ·	L.,			1000(+/-)
231	U S WATER			6915							T	15,565
231	AMEX≃N 01008			0010		<u> </u>					1	7,410
	AMEX-C 31002								-			7,192
	stale checks						*					1,885
201	Otaro Original									***		1,000
235	CUSTOMER DEPOSITS			8,854								
	231 TOTAL											
	REG ASSESSMENT FEE											
236	PP TAXES			111,556								118841
	236 TOTAL					M						
										-		
									NOTE 4. CU	ORT TERM FEDER	DAL ADDUGADIS	DATE
											TAL APPLICABLE	CRAIL
									NOTE 2: PR	INE KAIE		
					-							
												
						*	•					
											†	

EXHIBIT "B"

Current Tariff Sheets 2008 Price Index Adjustment NAME OF COMPANY:

Neighborhood Utilities, Inc.

WATER TARIFF

GENERAL SERVICE

RATE SCHEDULE GS

AVAILABILITY: Available throughout area served by the company.

<u>APPLICABILITY:</u> For water service to all customers for which no other schedule applies.

LIMITATIONS: Subject to all of the Rules and Regulations of this Tariff and General

Rules and Regulations of the Commission.

BILLING PERIOD: Based on readings from water meter quarterly.

RATE:	METER SIZE	BASE FACILITIES CHARGE
	5/8 x 3/4""	\$ 28.45
	1"	50.19
	1 1/2"	87.09
	2"	152.51
	3"	276.79
	4"	466.89
	6"	816.97

Gallonage Charge per 1,000 gallons \$ 1.14

MINIMUM CHARGE: Base Facility Charge

TERMS OF PAYMENT: Bills are due and payable when rendered and become delinquent if not

paid within twenty (20) days. After five (5) working days' written notice is mailed to the customer, separate and apart from any other bill, service may

then be discontinued.

EFFECTIVE DATE: February 10, 2009

TYPE OF FILING: 2008 Price Index Rate Adjustment

W. Larry O'Steen Issuing Officer President Title NAME OF COMPANY:

Neighborhood Utilities, Inc.

WATER TARIFF

RESIDENTIAL SERVICE

RATE SCHEDULE RS

AVAILABILITY:

Available throughout area served by the company.

APPLICABILITY:

For water service to all customers for which no other schedule applies.

LIMITATIONS:

Subject to all of the Rules and Regulations of this Tariff and General

Rules and Regulations of the Commission.

BILLING PERIOD:

Based on readings from water meter quarterly.

_		~	****	
v	Λ		- H	٠
ıv.	\boldsymbol{r}		LL.	

METER SIZE	BASE FACILITIES CHARGE
5/8 x 3/4""	\$ 28.45
1"	50.19
1 1/2"	87.09
2"	152.51
3"	276.79
4"	466.89
6"	816.97

Gallonage	Charge	per	1,000	gallons

\$ 1.14

MINIMUM CHARGE:

Base Facility Charge

TERMS OF PAYMENT:

Bills are due and payable when rendered and become delinquent if not paid within twenty (20) days. After five (5) working days' written notice is mailed to the customer, separate and apart from any other bill, service may

then be discontinued.

EFFECTIVE DATE:

February 10, 2009

TYPE OF FILING:

2008 Price Index Rate Adjustment

W. Larry O'Steen
Issuing Officer
President
Title

NAME OF COMPANY:

Neighborhood Utilities, Inc.

WATER TARIFF

MULTI-RESIDENTIAL SERVICE

RATE SCHEDULE MS

AVAILABILITY: Available throughout area served by the company.

<u>APPLICABILITY:</u> For water service to all customers for which no other schedule applies.

<u>LIMITATIONS:</u> Subject to all of the Rules and Regulations of this Tariff and General

Rules and Regulations of the Commission.

<u>BILLING PERIOD:</u> Based on readings from water meter quarterly.

RATE:	METER SIZE	BASE FACILITIES CHARGE
	5/8 x 3/4""	\$ 28.45
	1"	50.19
	1 1/2"	87.09
	2"	152.51
	3"	276.79
	4"	466.89
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Gallonage Charge per 1,000 gallons \$ 1.14

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W. Larry O'Steen Issuing Officer President Title