

REQUEST TO ESTABLISH DOCKET

(Please type or print. File original with CLK.)

FILED NOV 17, 2014
DOCUMENT NO. 06363-14
FPSC - COMMISSION CLERK

Date:	11/17/2014	Docket No.:	
1. From Division / Staff:	Afd - Mouring <i>M-Mc</i>		
2. OPR:	ENG		
3. OCR:	ECO, AFD, APA, GCL		
4. Suggested Docket Title:	Application for staff-assisted rate case in Polk County by Sunrise Utilities LLC.		
5. Program/Module/Submodule Assignment:	A1b		
6. Suggested Docket Mail List.			
a. Provide NAMES/ACRONYMS, if registered company.		<input type="checkbox"/> Provided as an Attachment	
Company Code, if applicable:	Parties (include address, if different from MCD):	Representatives (name and address):	
WU870	Sunrise Utilities, LLC		
b. Provide COMPLETE NAME AND ADDRESS for all others. (match representatives to companies)			
Company Code, if applicable:	Interested persons, if any, (include address, if different from MCD):	Representatives (name and address):	
7. Check one:	<input checked="" type="checkbox"/> Supporting Documentation Attached		<input type="checkbox"/> To be provided with Recommendation
Comments:			

COMMISSION
CLERK

14 NOV 17 PM 3:13

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November 10, 2014

Public Service Commission
2540 Shumard Oak Boulevard
Tallahassee, FL 32399-0850

Attention; Clerk Office

Please find enclosed the supporting documentation for Sunrise and Alturas rate case application.

For the better understanding of the verification I would like to add the following details.

There is only one manager looking after both Companies and her salaries of \$ 300.00 weekly is split \$ 107.00 for Alturas and \$ 193.00 for Sunrise based on the amount of customers we are servicing.

The weekly check registers shows higher additional payments made to the manager Sondra Myers name over and above her salaries, - represent the additional expenses occurred.

They are in the various expenses column within the reports provided.

The excess amount paid is for office supply, mileage allowances and maintenance or repair job performed within a few trusted and capable individuals helped us many times to save cost, - until May 2014 when Sondra Myers left her position unexpectedly.

Our current manager is Ms. Melissa Owen.

We had to hire occasionally more expensive outside sources when the job could not be handled internally and they were paid directly.

I have used the last 12 months including October 2014 to support a complete year operational expenses.

I have enclosed most of the invoices or at least a few per categories.

The 2014 Annual report will show our very same position with the next two months finalized details.

Alturas Utilities LLC and Sunrise Utilities LLC are individual Companies, but having only one manager and most of the suppliers being the same they very much interact with each other.

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Both Companies does not have enough revenues, - due to the shrinkage usage of our current customers and also having many vacant houses.

Our rates for Sunrise or Alturas are very much lower than other similar type of operation and not only that many time we could not comply with regulations, but having an aging system is more costly to operate without the most necessary improvements.

Some of the improvements outlined in a separate letter are not only the direct orders from the Health Department but they are crucial to continue a safe operation.

Our past years performance and the next three years projection represents only the actual cash flow need it without any additional allowance added to arrive a profit and loss statement.

A realistic rate increase will enable be us to run a safe and satisfactory operation for our customers, and look after our many past but due obligations.

Looking at our financial circumstances your department will judge the necessity and urgency of the situation.

Yours truly,


Leslie Szabo

Cost of Plant In Service	\$ _____	\$ _____
Less Accumulated Depreciation	_____	_____
Less Contributed Plant	_____	_____
Net Owner's Investment	\$ _____	\$ _____

G. Basic Income Statement: (Most recent two years)

1. Water:

	2014	2013
Revenues (By Class)		
a. 5/8 x 3/4	\$ 64,733	\$ 68,240
b.	_____	_____
c.	_____	_____
Total Operating Revenues:	\$ 64,733	\$ 68,240
Less Expenses:		
a. Salaries & Wages - Employees	10,008	11,832
b. Salaries & Wages - Officers, Directors, & Majority Stockholders	_____	_____
c. Employee Pensions & Benefits	_____	_____
d. Purchased Water	_____	_____
e. Purchased Power	2,340	2,140
f. Fuel for Power Production	_____	_____
g. Chemicals	1,462	1,063
h. Materials & Supplies	7,982	9,843
i. Contractual Services	23,030	25,549
j. Rents and Office Expenses	8,906	4,513
k. Transportation Expenses - Gas allowances	6,420	3,840
l. Insurance Expense and Legal Expenses	6,403	1,920
m. Regulatory Commission Expense	2,913	_____
n. Bad Debt Expense	3,986	3,691
o. Miscellaneous Expense	_____	264
p. Depreciation Expense	5,168	5,168
q. Property Taxes and Licences	4,858	3,047
r. Other Taxes	_____	_____
s. Income Taxes	_____	_____
Operating Income (Loss)	\$ (18,743)	\$ (4,630)

2. Wastewater

Revenues (By Class):

a. **Residential**

b.

c.

Total Operating Revenues:

Less Expenses:

a. Salaries & Wages - Employees

b. Salaries & Wages - Officers, Directors, & Majority Stockholders

c. Employee Pensions & Benefits

d. Purchased Wastewater Treatment

e. Sludge Removal Expense

f. Purchased Power

g. Fuel for Power Production

h. Chemicals

i. Materials & Supplies

j. Contractual Services

k. Rents

l. Transportation Expenses

m. Insurance Expense

n. Regulatory Commission Expense

o. Bad Debt Expense

p. Miscellaneous Expense

q. Depreciation Expense

r. Property Taxes

s. Other Taxes

t. Income Taxes

Operating Income (Loss)

	20	20
Revenues (By Class):	\$ _____	\$ _____
a. Residential	_____	_____
b.	_____	_____
c.	_____	_____
Total Operating Revenues:	\$ <u>_____</u>	\$ <u>_____</u>
Less Expenses:	_____	_____
a. Salaries & Wages - Employees	_____	_____
b. Salaries & Wages - Officers, Directors, & Majority Stockholders	_____	_____
c. Employee Pensions & Benefits	_____	_____
d. Purchased Wastewater Treatment	_____	_____
e. Sludge Removal Expense	_____	_____
f. Purchased Power	_____	_____
g. Fuel for Power Production	_____	_____
h. Chemicals	_____	_____
i. Materials & Supplies	_____	_____
j. Contractual Services	_____	_____
k. Rents	_____	_____
l. Transportation Expenses	_____	_____
m. Insurance Expense	_____	_____
n. Regulatory Commission Expense	_____	_____
o. Bad Debt Expense	_____	_____
p. Miscellaneous Expense	_____	_____
q. Depreciation Expense	_____	_____
r. Property Taxes	_____	_____
s. Other Taxes	_____	_____
t. Income Taxes	_____	_____
Operating Income (Loss)	\$ <u>_____</u>	\$ <u>_____</u>

H. Outstanding Debt:

	Creditor	Date Borrowed	Balance Due	Interest Rate	Expiration Date
1.	Blount Utilities Inc	2011	3,764	_____	2015
2.	RAF Dues	2013	13,363	_____	_____
3.	Legal Fees	2013	4,680	_____	2015
4.	_____	_____	_____	_____	_____

I. Indicate Type of Tax Return Filed:

- Form 1120 - Corporation
- Form 1120S - Subchapter S Corporation
- Form 1065 - Partnership
- Form 1040 - Schedule C - Individual (Proprietorship)

III

ENGINEERING DATA

A. Outside Engineering Consultant:

- 1. Name:
- 2. Firm:
- 3. Address:
- 4. Telephone: ()

B. Individual to contact on engineering matters:

- 1. Name: **Wiley Pratt**
- 2. Telephone: **(863) 698 2365**

C. Is the utility under citation by the Department of Environmental Protection (DEP) or County Health Department?
If yes, explain: **NO**

D. List any known service deficiencies and steps taken to remedy problems:

E. Name of plant operator(s) and DEP operator certificate number(s) held:

F. Is the utility serving customers outside of its certificated area?
If yes, explain:

G. Wastewater:

- 1. Gallons per day capacity of treatment facilities:
 - a. Existing:
 - b. Under Construction:
 - c. Proposed:
- 2. Type and make of present treatment facilities:
- 3. Approximate average daily flow of treatment plant effluent:

4. Approximate length of wastewater mains:

Size (diameter):					
Linear feet:					

- 5. Number of manholes:
- 6. Number of lift stations:
- 7. How do you measure treatment plant effluent?
- 8. Is the treatment plant effluent chlorinated? Yes No

- 13. Do you have a meter change out program? No Yes
- 14. Meter installation or tap in fees - Water \$ _____
- 15. Service availability fees - Water \$ _____
- 16. Has the existing treatment facility been approved by DEP? No Yes
- 17. Total gallons pumped during most recent twelve months:
- 18. Total gallons sold during most recent twelve months:
- 19. Gallons unaccounted for during most recent twelve months:
- 20. Gallons purchased during most recent twelve months: 0

IV. RATE DATA

A. Individual to contact on tariff matters:

- 1. Name: Leslie Szabo
- 2. Telephone Number: (863) 510 1318

B. Schedule of present rates: (Attach additional sheets if more space is needed)

- 1. Water:
 - a. Residential Water _____
 - b. General Service _____
 - c. Special Contract _____
 - d. Other - Specify _____
- 2. Wastewater:
 - a. Residential Wastewater _____
 - b. General Service _____
 - c. Special Contract _____
 - d. Other - Specify _____

C. Number of Customers: (Most recent two years)

	2014	2013
1. Water Metered	244	244
a. Residential	_____	_____
b. General Service	_____	_____
c. Special Contract	_____	_____
d. Other - Specify	_____	_____
2. Water Unmetered	20	20
a. Residential	_____	_____
b. General Service	_____	_____
c. Special Contract	_____	_____
d. Other - Specify	_____	_____
3. Wastewater	20	20
a. Residential	_____	_____
b. General Service	_____	_____
c. Special Contract	_____	_____
d. Other - Specify	_____	_____

V. AFFIRMATION

I, Leslie Szabo the undersigned owner, officer, or partner of the above named public utility, doing business in the State of Florida and subject to the control and jurisdiction of the Florida Public Service Commission, certify that the statements set forth herein are true and correct to the best of my information, knowledge, and belief.

Signed



Title

Notice: Section 837.06, Florida Statutes, provides that any person who knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his duty shall be guilty of a misdemeanor of the second degree.