

# ***FLORIDA HEARING AND TELEPHONE***

FILED MAR 05, 2015  
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FPSC - COMMISSION CLERK

March 4, 2015

**Via E-File**

Office of Commission Clerk  
Florida Public Service Commission  
Capital Circle Office Center  
2540 Shumard Oak Blvd  
Tallahassee FL 32399-0850

**Re: Application for Authority of Transfer of the Certificate of Florida Hearing and Telephone Corporation due to Stock Purchase**

Dear Sir or Madam:

Florida Hearing and Telephone, LLC (hereinafter referred to as "FH&T") hereby provides the attached Application for Authority of Transfer of the Certificate of FH&T. Under the terms of the proposed transaction, 100 percent ownership of the stock of FH&T has transferred from Brooks Rule to Glenda Sue Harvison.

This transaction was closed and completed on March 4, 2013. In January of 2013, FH&T sought to notify the Commission of this transaction through filing a formal application. That application was subsequently withdrawn. After additional review and conference with staff, FH&T's understands that prior Commission approval of this transaction is required. FH&T does not currently have customers in the state of Florida. Accordingly, the transaction will not affect any Florida consumers. FH&T submits that the transaction is in the public interest.

Submission of the \$500 filing fee will be submitted directly to the Commission under separate cover. If you have any questions, please do not hesitate to contact me directly or Dana Hoyle, Regulatory Consultant at (817) 724-2125.

Respectfully,

*Michael Hatfield*

Michael Hatfield  
President  
5070 Mark IV Parkway  
Fort Worth, TX 76106  
817-509-1228 Office  
817-838-1821 Fax  
michael@thriftfm.com

5070 MARK IV PARKWAY FT WORTH, TX 76106  
Phone: 817-838-1800 Fax: 817-838-1821

**FLORIDA PUBLIC SERVICE COMMISSION**  
**OFFICE OF TELECOMMUNICATIONS**

**APPLICATION FORM**  
**FOR**  
**AUTHORITY TO PROVIDE TELECOMMUNICATIONS COMPANY SERVICE**  
**WITHIN THE STATE OF FLORIDA**

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**Instructions**

- A. This form is used as an application for an original certificate and for approval of transfer of an existing certificate. In the case of a transfer, the information provided shall be for the transferee (See Page 8).
- B. Print or type all responses to each item requested in the application. If an item is not applicable, please explain.
- C. Use a separate sheet for each answer which will not fit the allotted space.
- D. Once completed, submit the original and one copy of this form along with a non-refundable application fee of **\$500.00** to:

**Florida Public Service Commission**  
**Office of Commission Clerk**  
**2540 Shumard Oak Blvd.**  
**Tallahassee, Florida 32399-0850**  
**(850) 413-6770**

- E. A filing fee of **\$500.00** is required for the transfer of an existing certificate to another company.
- F. If you have questions about completing the form, contact:

**Florida Public Service Commission**  
**Office of Telecommunications**  
**2540 Shumard Oak Blvd.**  
**Tallahassee, Florida 32399-0850**  
**(850) 413-6600**

1. This is an application for (check one):

**Original certificate** (new company).

**Approval of transfer of existing certificate:** Example, a non-certificated company purchases an existing company and desires to retain the original certificate of authority rather than apply for a new certificate.

2. Name of company: **Florida Hearing and Telephone Corporation**

3. Name under which applicant will do business (fictitious name, etc.):

**Florida Hearing and Telephone**

4. Official mailing address:

Street/Post Office Box: **5070 Mark IV Parkway**

City: **Fort Worth**

State: **Texas**

Zip: **76106**

5. Florida address:

Street/Post Office Box: **155 Office Plaza Drive, Suite A**

City: **Tallahassee**

State: **Florida**

Zip: **32301**

6. Structure of organization:

- Individual
- Foreign Corporation
- General Partnership
- Other, please specify:

- Corporation
- Foreign Partnership
- Limited Partnership

**If individual**, provide:

Name: **N/A**  
Title: \_\_\_\_\_  
Street/Post Office Box: \_\_\_\_\_  
City: \_\_\_\_\_  
State: \_\_\_\_\_  
Zip: \_\_\_\_\_  
Telephone No.: \_\_\_\_\_  
Fax No.: \_\_\_\_\_  
E-Mail Address: \_\_\_\_\_  
Website Address: \_\_\_\_\_

7. **If incorporated in Florida**, provide proof of authority to operate in Florida. The Florida Secretary of State corporate registration number is: **P10000030961**
8. **If foreign corporation**, provide proof of authority to operate in Florida. The Florida Secretary of State corporate registration number is: **N/A**
9. **If using fictitious name (d/b/a)**, provide proof of compliance with fictitious name statute (Chapter 865.09, FS) to operate in Florida. The Florida Secretary of State fictitious name registration number is: **G10000034380**
10. **If a limited liability partnership**, please proof of registration to operate in Florida. The Florida Secretary of State registration number is: **N/A**
11. **If a partnership**, provide name, title and address of all partners and a copy of the partnership agreement.

Name: **N/A**  
Title: \_\_\_\_\_  
Street/Post Office Box: \_\_\_\_\_  
City: \_\_\_\_\_  
State: \_\_\_\_\_  
Zip: \_\_\_\_\_  
Telephone No.: \_\_\_\_\_  
Fax No.: \_\_\_\_\_  
E-Mail Address: \_\_\_\_\_  
Website Address: \_\_\_\_\_

12. **If a foreign limited partnership**, provide proof of compliance with the foreign limited partnership statute (Chapter 620.169, FS), if applicable. The Florida registration number is: **N/A**

13. Provide **F.E.I. Number: 27-2370971**

14. Who will serve as liaison to the Commission in regard to the following?

(a) The application:

Name: **Dana Hoyle**  
Title: **Regulatory Consultant**  
Street Name & Number: **5070 Mark IV Parkway**  
Post Office Box:  
City: **Fort Worth**  
State: **Texas**  
Zip: **76106**  
Telephone No.: **817-724-2125**  
Fax No.: **817-838-1821**  
E-Mail Address: **hoyleregaffairs@gmail.com**  
Website Address:

(b) Official point of contact for the ongoing operations of the company:

Name: **Michael Hatfield**  
Title: **President**  
Street Name & Number: **5070 Mark IV Parkway**  
Post Office Box:  
City: **Fort Worth**  
State: **Texas**  
Zip: **76106**  
Telephone No.: **817-838-1800**  
Fax No.: **817-838-1821**  
E-Mail Address: **michael@thrifftm.com**  
Website Address:

(c) Where will you officially designate as your place of publicly publishing your schedule (a/k/a tariffs or price lists)?

- Florida Public Service Commission
- Website – *Website address:*
- Other – *Please provide address:*

15. List the states in which the applicant:

(a) has operated as a telecommunications company.

**None.**

(b) has applications pending to be certificated as a telecommunications company.

**None.**

(c) is certificated to operate as a telecommunications company.

**None, except Florida.**

(d) has been denied authority to operate as a telecommunications company and the circumstances involved.

**No.**

(e) has had regulatory penalties imposed for violations of telecommunications statutes and the circumstances involved.

**No.**

(f) has been involved in civil court proceedings with another telecommunications entity, and the circumstances involved.

**No.**

16. Have any of the officers, directors, or any of the ten largest stockholders previously been:

(a) adjudged bankrupt, mentally incompetent (and not had his or her competency restored), or found guilty of any felony or of any crime, or whether such actions may result from pending proceedings.  Yes  No

If yes, provide explanation.

(b) granted or denied a certificate in the State of Florida (this includes active and canceled certificates).  Yes  No

If yes, provide explanation and list the certificate holder and certificate number. **N/A**

(c) an officer, director, partner or stockholder in any other Florida certificated or registered telephone company.  Yes  No

If yes, give name of company and relationship. If no longer associated with company, give reason why not. **N/A**

**17. Submit the following:**

(a) **Managerial capability:** resumes of employees/officers of the company that would indicate sufficient managerial experiences of each. Please explain if a resume represents an individual that is not employed with the company and provide proof that the individual authorizes the use of the resume.

**Please see biographies contained herein as Attachment A.**

(b) **Technical capability:** resumes of employees/officers of the company that would indicate sufficient technical experiences or indicate what company has been contracted to conduct technical maintenance. Please explain if a resume represents an individual that is not employed with the company and provide proof that the individual authorizes the use of the resume.

**Please see biographies contained herein as Attachment A.**

(c) **Financial Capability:** applicant's audited financial statements for the most recent three (3) years. If the applicant does not have audited financial statements, it shall so be stated. Unaudited financial statements should be signed by the applicant's chief executive officer and chief financial officer affirming that the financial statements are true and correct and should include:

1. the balance sheet,
2. income statement, and
3. statement of retained earnings.

**Please see confidentially filed financial projections contained herein as Attachment B.**

**Note:** *It is the applicant's burden to demonstrate that it possesses adequate managerial capability, technical capability, and financial capability. Additional supporting information can be supplied at the discretion of the applicant.*

**THIS PAGE MUST BE COMPLETED AND SIGNED**

**REGULATORY ASSESSMENT FEE:** I understand that all telephone companies must pay a regulatory assessment fee. Regardless of the gross operating revenue of a company, a minimum annual assessment fee, as defined by the Commission, is required.

**RECEIPT AND UNDERSTANDING OF RULES:** I acknowledge receipt and understanding of the Florida Public Service Commission's rules and orders relating to the provisioning of telecommunications company service in Florida.

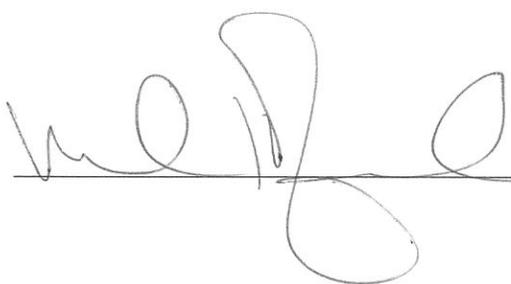
**APPLICANT ACKNOWLEDGEMENT:** By my signature below, I, the undersigned officer, attest to the accuracy of the information contained in this application and attached documents and that the applicant has the technical expertise, managerial ability, and financial capability to provide telecommunications company service in the State of Florida. I have read the foregoing and declare that, to the best of my knowledge and belief, the information is true and correct. I attest that I have the authority to sign on behalf of my company and agree to comply, now and in the future, with all applicable Commission rules and orders.

Further, I am aware that, pursuant to Chapter 837.06, Florida Statutes, "**Whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his official duty shall be guilty of a misdemeanor of the second degree, punishable as provided in s. 775.082 and s. 775.083.**"

I understand that any false statements can result in being denied a certificate of authority in Florida.

COMPANY OWNER OR OFFICER

Print Name: Michael Hatfield  
Title: President of Operations  
Telephone No.: 817-509-1228  
E-Mail Address: michael@thriffm.com

Signature:  Date: 3-2-15

## CERTIFICATE TRANSFER

As current holder of Florida Public Service Commission Certificate Number 8797, I have reviewed this application and join in the petitioner's request for a transfer of the certificate.

### COMPANY OWNER OR OFFICER

Print Name: Brooks Rule  
Title: President  
Street/Post Office Box: 1213 E. Alton Gloor, Suite F  
City: Brownsville  
State: Texas  
Zip: 78526  
Telephone No.: 956-542-2475  
Fax No.: 956-541-0234  
E-Mail Address: brooks@texashearingandtelephone.com

Signature:  Date: 3/2/15

ATTACHMENT A  
COMPANY MANAGEMENT BIOGRAPHIES

### **Sue Harvison, Owner and Director**

Sue Harvison is the owner of Thrift Financial Marketing, an online mortgage company, and Thrift Benefits, an online Health and Lifestyle benefits company, and Thrift Communications, a nationwide telephony company. In addition, Ms. Harvison plays an active role in several business investments where she offers leadership and consultation. She has served on many boards with leadership positions, is an accomplished fund developer and is instrumental in the review and approval of budgets, banking relations, debt arrangements and treasury management services. Ms. Harvison received a Bachelor of Science Degree from Texas Christian University and a Master of Science from The University of Texas at Arlington, both with an emphasis on social work.

### **Michael Hatfield, President and Director**

Michael Hatfield is a dynamic, articulate and highly skilled business manager with 20 years of corporate and private business management experience. He has proven himself capable of handling multiple projects to consistently meet goals. Strong analytical skills allow Mr. Hatfield to quickly uncover customer, business and employee needs to provide creative, rapid and effective solutions. In addition to the Thrift Family of companies, Michael has a proven track record in a variety of businesses for providing structure, leadership and management that allows for the growth of new entities and the profitable restructure of existing entities. When working with Wells Fargo, Mr. Hatfield took control of a troubled branch in Cleburne, Texas. Within 18 months of that assignment, Mr. Hatfield had management control over branches in five (5) Central Texas counties, and reported the company's highest growth and profit margin, with the lowest bad debt. Mr. Hatfield uses creativity with insistence on fairness and honesty in setting and obtaining professional goals. Mr. Hatfield is currently President of the Thrift family of companies. In this capacity, he has been critically involved in the creation of communication companies, complete with marketing and strategies for growth. He additionally acquires communications companies and works with multiple state utility commissions as well as the FCC to ensure compliance for the acquired entities. In addition, he has been heavily involved with contract negotiations, interconnection agreements along with working hand and hand with telecom engineers and service providers for wholesale and resale of communications solutions. Michael graduated from Texas A&M University in 1993 with a degree in Agriculture; Embryology.

### **Dana Hoyle, Regulatory Consultant**

Dana Hoyle has twenty-three years of experience in the field of competitive telecommunications, specializing in regulatory services. She is a sole proprietor, providing regulatory compliance and consulting services to competitive providers of telecommunication services. Ms. Hoyle has experience working in-house with traditional wireline telecommunications services providers, such as domestic & international Long Distance ("IXC") and Competitive Local Exchange Carriers ("CLECs"), Inmate Services and Payphones providers, wireless carriers, nomadic and fixed "Interconnected" Voice over Internet Protocol ("VoIP") Service providers. Her Bachelor of Professional Studies – Interdisciplinary Studies with Concentration in Business, Telecommunications Management and Labor Relations was earned from New York Institute of Technology. Ms. Hoyle graduated from the program with Summa Cum Laude Honors. Her Masters in Legal Studies was earned with a concentration in Law and Public Policy from California University in Pennsylvania. Ms. Hoyle graduated from the Master's program with Presidential Scholar Honors.

# ATTACHMENT B

CONFIDENTIALLY FILED FINANCIAL STATEMENTS