DOCKET NO. 150173-TX



FILED JUL 28, 2015 DOCUMENT NO. 04723-15 FPSC - COMMISSION CLERK July 27, 2015 Via Overnight Delivery

Ms. Carlotta Stauffer, Commission Clerk Florida Public Service Commission 2540 Shumard Oak Boulevard Tallahassee, FL 32399-0850

RE: Optical Communications, Inc. Application For Authority to Provide Competitive Local Exchange Telecommunications Company Service

Dear Ms. Stauffer:

Enclosed for filing please find the original and one (1) copy of the Application for Authority to Provide Competitive Local Exchange Telecommunications Company Service within the State of Florida submitted on behalf of Optical Communications, Inc. A check in the amount of \$500.00 is included to cover the filing fee.

The Company is a startup company and as a result of that, there is not substantial financial documentation. The Company's balance sheet is attached as Exhibit C.

Please acknowledge receipt of this filing by date-stamping the extra copy of this cover letter and returning it to me in the self-addressed, stamped envelope provided for that purpose.

Any questions you may have regarding this filing should be directed to my attention at 407-740-8575 or via email to dgainor@tminc.com.

Thank you for your assistance in this matter.

Sincerely,

cc:

Enclosures

DG/lw

COM

AFD

ECO

ENG

APA

GCL

Deblue Gamar

Debbie Gainor Consultant to Optical Communications, Inc.

Brett Kaye - Optical Communications, Inc. (vial email)

file: Optical Communications, Inc. - Florida - Local tms: FL11501

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Check received with thing and is whethed to Fican for deposit. Flacul to forward deposit information to Piscards.

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- **1.** This is an application for (check one):
 - Original certificate (new company).
 - Approval of transfer of existing certificate: <u>Example</u>, a non-certificated company purchases an existing company and desires to retain the original certificate of authority rather that apply for a new certificate.
- 2. Name of company: Optical Communications, Inc. ("Company")
- 3. Name under which applicant will do business (fictitious name, etc.):

Optical Communications, Inc.

4. Official mailing address:

Street/Post Office Box:	729 Piedmont Ave. N.E.
City:	Atlanta
State:	GA
Zip:	30308

5. Florida address:

Street/Post Office Box:	None
City:	
State:	
Zip:	

6. Structure of organization:

Individual	Corporation
Foreign Corporation	Foreign Partnership
General Partnership	Limited Partnership
Other, please specify:	

If individual, provide:

Name:	
Title:	
Street/Post Office Box:	
City:	
State:	
Zip:	
Telephone No.:	
Fax No.:	
E-Mail Address:	
Website Address:	

- 7. <u>If incorporated in Florida</u>, provide proof of authority to operate in Florida. The Florida Secretary of State corporate registration number is:
- 8. <u>If foreign corporation</u>, provide proof of authority to operate in Florida. The Florida Secretary of State corporate registration number is: See Exhibit A
- 9. <u>If using fictitious name (d/b/a)</u>, provide proof of compliance with fictitious name statute (Chapter 865.09, FS) to operate in Florida. The Florida Secretary of State fictitious name registration number is:
- **10.** <u>If a limited liability partnership</u>, please proof of registration to operate in Florida. The Florida Secretary of State registration number is:
- **11.** <u>If a partnership</u>, provide name, title and address of all partners and a copy of the partnership agreement.

Name:	·
Title:	
Street/Post Office Box:	
City:	
State:	
Zip:	
Telephone No.:	
Fax No.:	
E-Mail Address:	
Website Address:	

12. <u>If a foreign limited partnership</u>, provide proof of compliance with the foreign limited partnership statute (Chapter 620.169, FS), if applicable. The Florida registration number is:

13. Provide <u>F.E.I. Number</u>: 27-4022383

- 14. Who will serve as liaison to the Commission in regard to the following?
 - (a) The application:

Debbie Gainor
Consultant
2600 Maitland Center Parkway, Suite 300
Maitland
FL
32751
407-740-3019
407-740-0613
dgainor@tminc.com
tminc.com

(b) Official point of contact for the ongoing operations of the company:

Name:	Brett Kaye
Title:	President
Street Name & Number:	729 Piedmont Ave. N.E.
Post Office Box:	
City:	Atlanta
State:	GA
Zip:	30308
Telephone No.:	678-666-3860
Fax No.:	404-855-4306
E-Mail Address:	Brett@iknld.com
Website Address:	N/A - the Company is not required to post a tariff or price list

(c) Where will you officially designate as your place of publicly publishing your schedule (a/k/a tariffs or price lists)? Not Appicable.

The Company only offers wholesale resold local service and does not have any end-users. Therefore the Company is not subject to posting a price list

The Company only offers wholesale resold local services and is not subject to posting a tariff or price list.

Florida Public Service Commission

Website – Website address:

Other – Please provide address:

Application to Provide Telecommunications Company Service Within the State of Florida - Commission Rule No. 25-4.004, F.A.C.

- 15. List the states in which the applicant:
 - (a) has operated as a telecommunications company.

The Company is a start-up company and is currently seeking authority to operate as a CLEC Reseller in Georgia and Florida.

(b) has applications pending to be certificated as a telecommunications company.

The Company is a start up company and is currently seeking authority to operate as a CLEC Reseller in Georgia and Florida.

(c) is certificated to operate as a telecommunications company.

The Company is a start up company and is currently seeking authority to operate as a CLEC Reseller in Georgia and Florida.

(d) has been denied authority to operate as a telecommunications company and the circumstances involved.

No

(e) has had regulatory penalties imposed for violations of telecommunications statutes and the circumstances involved.

No

(f) has been involved in civil court proceedings with another telecommunications entity, and the circumstances involved.

No

- 16. Have any of the officers, directors, or any of the ten largest stockholders previously been:
 - (a) adjudged bankrupt, mentally incompetent (and not had his or her competency restored), or found guilty of any felony or of any crime, or whether such actions may result from pending proceedings. ☐ Yes ⊠ No

If yes, provide explanation.

(b) Granted or denied a certificate in the State of Florida (this includes active and canceled certificates). □ Yes ⊠ No

If yes, provide explanation and list the certificate holder and certificate number.

(c) an officer, director, partner or stockholder in any other Florida certificated or registered telephone company. □ Yes ⊠ No

If yes, give name of company and relationship. If no longer associated with company, give reason why not.

- 17 Submit the following:
 - (a) <u>Managerial capability:</u> resumes of employees/officers of the company that would indicate sufficient managerial experiences of each. Please explain if a resume represents an individual that is not employed with the company and provide proof that the individual authorizes the use of the resume.

See Exhibit B

(b) <u>Technical capability</u>: resumes of employees/officers of the company that would indicate sufficient technical experiences or indicate what company has been contracted to conduct technical maintenance. Please explain if a resume represents an individual that is not employed with the company and provide proof that the individual authorizes the use of the resume.

See Exhibit B

(c) <u>Financial Capability:</u> applicant's audited financial statements for the most recent three (3) years. If the applicant does not have audited financial statements, it shall so be stated. Unaudited financial statements should be signed by the applicant's chief executive officer and chief financial officer affirming that the financial statements are true and correct and should include:

See Exhibit C

- 1. the balance sheet,
- 2. income statement, and
- 3. statement of retained earnings.

Note: It is the applicant's burden to demonstrate that it possesses adequate managerial capability, technical capability, and financial capability. Additional supporting information can be supplied at the discretion of the applicant.

THIS PAGE MUST BE COMPLETED AND SIGNED

REGULATORY ASSESSMENT FEE: I understand that all telephone companies must pay a regulatory assessment fee. Regardless of the gross operating revenue of a company, a minimum annual assessment fee, as defined by the Commission, is required.

RECEIPT AND UNDERSTANDING OF RULES: I acknowledge receipt and understanding of the Florida Public Service Commission's rules and orders relating to the provisioning of telecommunications company service in Florida.

APPLICANT ACKNOWLEDGEMENT: By my signature below, I, the undersigned officer, attest to the accuracy of the information contained in this application and attached documents and that the applicant has the technical expertise, managerial ability, and financial capability to provide telecommunications company service in the State of Florida. I have read the foregoing and declare that, to the best of my knowledge and belief, the information is true and correct. I attest that I have the authority to sign on behalf of my company and agree to comply, now and in the future, with all applicable Commission rules and orders.

Further, I am aware that, pursuant to Chapter 837.06, Florida Statutes, "Whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his official duty shall be guilty of a misdemeanor of the second degree, punishable as provided in s. 775.082 and s. 775.083."

I understand that any false statements can result in being denied a certificate of authority in Florida.

COMPANY OWNER OR OFFICER

Print Name:	Brett Kaye		
Title:	President		
Telephone No.:	678-666-3860		
E-Mail Address:	Brott@iknld.com		
Signature:		Date:	7/13/15

FORM PSC/TEL 162 (12/12) Application to Provide Telecommunications Company Service Within the State of Florida - Commission Rule No. 25-4.004, F.A.C.

NOT APPLICABLE

CERTIFICATE TRANSFER

As current holder of Florida Public Service Commission Certificate Number _____, I have reviewed this application and join in the petitioner's request for a transfer of the certificate.

COMPANY OWNER OR OFFICER

Print Name:	
Title:	
Street/Post Office Box:	
City:	
State:	
Zip:	
Telephone No.:	
Fax No.:	
E-Mail Address:	

Signature:

Date:

FORM PSC/TEL 162 (12/12) Application to Provide Telecommunications Company Service Within the State of Florida - Commission Rule No. 25-4.004, F.A.C.

EXHIBIT A

FLORIDA SECRETARY OF STATE CERTIFICATE

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT **BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

Optical Communications Incorporated 1.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp," "Inc," "Co," or "Corp.")

(If name unavailal	ole in Florida, enter alternate corporate na	me adopted for the purpose of transacting busi	ness in Florida)	
, Georgia		3 27-4022383		-
2(State or country	under the law of which it is incorporated)) (FEI number, if applicat	ole)	
Georgia	- 11/5/2010	₅ perpetual		-
4	of incorporation)	(Duration: Year corp. will cease to exist	or "perpetual")	
6 No busir	ness in FL yet			-
0	(SEE SECTIONS 607.1501 & 60	ess in Florida, if prior to registration) 07.1502, F.S., to determine penalty liability)		
729 Pied	mont Ave NE, Atlanta	a GA 30308		-
	(Principal office	address)		
729 Pied	mont Ave NE, Atlanta	a GA 30308		-
·	(Current meiling	z address)	ੱਜ ਹ	
Q Name and street	et address of Florida registered agent:	(P.O. Box NOT acceptable)	JUL	astron
8. Name and <u>suee</u>	R. B. Kaye		္ရန္ရန္ မ မရန္က မ	2 2 2
Name:			PH	
Office Address:	920 Hibiscus Lane		ူး္ ပ္	
	Delray Beach	, Florida 33444	37	
	(City)	(Zip code)		:
		·.		

9. Registered agent's acceptance:--

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. Names and business addresses of officers and/or directors

A. DIRECTORS
Chairman:
Address:
Vice Chairman:
Address:
Director:
Address:
Director:
Address:
President: R. B. Kaye - Candull B. Kaye Address: 729 Piedmont Ave NE Atlanta GA 30308
Vice President:
Address:
Secretary. R. B. Kaye Panchil B. Kake
Address: 729 Piedmont Ave NE, Atlanta GA 30308
Treasurer: R. B. Kaye
Address: 729 Piedmont Ave NE, Atlanta GA 30308
NOTE: If necessary, you may attach an addendury to the application listing additional officers and/or directors.
signature of Director or Officer
The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s 817.155, F.S.

ł

13 Randall B Kaye CEO/President

(Typed or printed name and capacity of person signing application)

STATE OF GEORGIA

Secretary of State Corporations Division 313 West Tower #2 Martin Luther King, Jr. Dr. Atlanta, Georgia 30334-1530 CONTROL NUMBER: 10077700DATE INC/AUTH/FILED: November 05, 2010JURISDICTION: GeorgiaPRINT DATE: April 24, 2015

CERTIFICATE OF EXISTENCE

I, Brian P. Kemp, the Secretary of State of the State of Georgia, do hereby certify under the seal of my office that

OPTICAL COMMUNICATIONS, INC. <u>A Domestic For-Profit Corporation</u>

was formed in the jurisdiction stated above or was authorized to transact business in Georgia on the above date. Said entity is in compliance with the applicable filing and annual registration provisions of Title 14 of the Official Code of Georgia Annotated and has not filed articles of dissolution, certificate of cancellation or any other similar document with the office of the Secretary of State.

This certificate relates only to the legal existence of the above-named entity as of the date issued. It does not certify whether or not a notice of intent to dissolve, an application for withdrawal, a statement of commencement of winding up or any other similar document has been filed or is pending with the Secretary of State.

This certificate is issued pursuant to Title 14 of the Official Code of Georgia Annotated and is prima-facie evidence that said entity is in existence or is authorized to transact business in this state.



Bill

Brian P. Kemp Secretary of State

Tracking #: OACY99zH

EXHIBIT B

MANAGEMENT PROFILES

Brett Kaye

920 Hibiscus Lane • Delray Beach, Fl 33444 (561) 306-7962 Brett@iknld.com

Overview

Accomplished Telecommunications Professional practiced in all aspects of operations, management, sales, design, installation, and support of voice and data transmission networks. Expert in collaborating with other telecommunication entities to identify requirements and build custom wholesale telecommunication networks and solutions.

Experience

IKN Inc – Atlanta, GA

General Manager

May, 2001 - Current

- Designed and implemented a state of the art Wholesale VoIP Network capable of handling well over 500 million minutes per month.
- Negotiate all company contracts
- Hire and Manage Sales Agents
- · Procure and execute strategic vendor relationships
- Oversee accounting AP and AR
- · Hire and Manage technical and support staff including 24 hour NOC support center

Payphone Ani Inc – Atlanta, GA President

March, 2001 - Current

- Provide Management for telecommunication Companies encompassing all aspects of operations.
- Negotiate agent contracts with telecommunication Companies
- •Forge strategic agent relationships to expand customers and revenues
- · Created a network to handle long distance for over 75,000 payphones

Call Central, Inc

President

January, 1995 - April, 2003

• Created a nationwide network of independent and in house Sales agents to sell operator services to over 1500 hotels and 25,000 private payphone owners.

- · Created Unique switching platform to maximize dial around compensation for private payphone providers
- Negotiated strategic Master Agent contracts including 1+ and Operator Services
- · Managed customer service and support staff
- Managed payphone and dialer programming staff

Qwest Communications Director of Public Communications South East Territory January, 1999 – April, 2001

Managed all payphone customers for the South East region
Developed commission based compensation plans for payphone providers

• Identified potential customers for the Qwest operator service program

• Worked with provisioning to ensure a smooth customer transition

• Provided support and educational presentations at state public communications meetings

• Negotiated customer contracts

Matthew Williams

Objective

Design and implement custom telephony systems.

Experience

September 1999 – August 2006	Telcom Products International	Fargo, ND
Head of VoIP technical		
• VoIP gateway installa		
Custom network design		
• Web development		
August 2005	N 6-14 :1 1	
August 2005 – May 2007	Multiband	Fargo, ND
Telephony system deve	lopment	
Designed and implem	ented VoiP system for apartment buildings	
Least cost routing		
• TDM interface.		
January 2005 – 2007	Kimpton Brothers	Leeds, UK
Telephony system deve	lopment	
• Least cost routing of to	elephone calls	
 Interfacing with tradition 	on pbx systems	
• Network and call qual	ity troubleshooting	
May 2006 – 2007	New Standard Telephony	Tel Aviv, IL
Telephony system deve	lopment	
• Working porting softv	vare to embedded platforms	
Web design		
• Custom IVR design		
Multi protocol routin	g	
March 2007 – Present	IKN	Atlanta, GA
NI - 4	ms engineering and development	

System Design and Adminstration

- Working with and maintaining class 5 switches. Including software upgrades and troubleshooting.
- Troubleshooting PSTN networks along with ISDN and SS7 protocol stacks

Matthew Williams

- Designing and maintaining extensive IP networks.
- Emphasizing on network uptime, monitoring and security.
- Working with switches and routers including maintenance and monitoring emphasis in Cisco.
- Design and implementation of point-to-point VPN using PPTP, GRE and OpenVPN
- Designing and maintaining robust telephone networks including site to site PBX integration.
- Troublehsooting transitions from VOIP to traditional telephone networks.
- Extensive troubleshooting of the SIP and RTP using Ethereal/Wireshark.
- Design and maintaince of telephone routing systems includes billing and user interfaces.
- SQL and NoSQL database design and maintenance with medium to large datasets.
- SBC implemenations with monitoring and security based on realtime call evaluation.
- Testing new network configurations based on latency and switch load.
- Designing and testing SIPp scenarios to simulate real world traffic.

NOC Support & Management

- Implementation of trouble reporting systems for customers and vendors.
- Working with NOC teams from end to end on troubleshooting call and network issues.

Education

August 2002 - MayMinnesota State and community technical
collegeMoorhead, MN

[Degree Obtained]

• Associates degree in computer networking.

References

References are available on request.

Mike Krier

1104 10th St South | Fargo, ND | (701) 361-0530 | mikeylite21@gmail.com

QUALIFICATIONS

15 years experience troubleshooting and resolving computer hardware and software problems for diverse clients with a background in problem solving as a Computer and Network Technician, data management and carrier based support.

RELEVANT EXPERIENCE

Provided network diagnostics, maintenance, repair, troubleshooting and implementation services to over 50 local businesses and organizations

Set up and networked computer hardware and peripheral devices for non profit organizations and private school systems

Implement various types of critical network configuration changes for Cisco routers/switches/firewalls, Linksys managed switches, various wireless devices, DNS, DHCP, e-mail, VoIP, and disaster recovery.

Supported enterprise based Telco products and data switches.

PROFESSIONAL

HISTORY 2000 –2011 Parsons Business Systems, Computer, Server and Networking Technician Contracted by QualexServ, Apple, Dell, ServRight, LMS

2011-Present IKN,

NOC Operator/Helpdesk Support Specialist Customer service

-Monitor Linux and window server-based networks infrastructures

-Troubleshoot and determine technical problems

within LAN/WAN infrastructure

-Provided helpdesk technical support and information processing for commercial carriers.

TECHNICAL SKILLS

Computer Hardware, Computer Software, Switches, VPN, Routers, Modems, Network Storage, Wireless Access Points, Firewalls, Web Servers, Networking, Windows Servers, Apple Computer Systems, Exchange Servers, Freeswitch Telco products.

Protocols TCP/IP, LAN/WAN, DHCP, SIP,NAT Operating Systems Windows NT, Windows 2000, Windows 2003, Windows 2008 Windows XP, Windows Vista, Windows 7, Windows 8, Mac OS, Linux/Unix based.

EDUCATION

Dell Certified Systems Expert, DCSE Level 3 Hardware Mac Certified NDSU Architecture program – completed 45 credits towards the program

EXHIBIT C

FINANCIAL STATEMENT

5:46 PM

07/09/15 Accrual Basis

Optical Communications Balance Sheet As of July 9, 2015

	Jul 9, 15
ASSETS	
Current Assets Checking/Savings RBC	28,595.79
Total Checking/Savings	28,595.79
Total Current Assets	28,595.79
TOTAL ASSETS	28,595.79
LIABILITIES & EQUITY Equity	
Opening Balance Equity	1.00
Retained Earnings	628.77
Net Income	27,966.02
Total Equity	28,595.79
TOTAL LIABILITIES & EQUITY	28,595.79

The unaudited balance sheet, presented above, is true and correct and best efforts were made to prepare in accordance with general account practice guidelines and subject to normal year-end adjustments.

Brett Kaye President, Optical Communications

7-9-2015