

DOCKET NO. 20190064-TX FILED 3/19/2019 DOCUMENT NO. 03196-2019 FPSC - COMMISSION CLERK

March 15, 2019

Ms. Carlotta Stauffer, Commission Clerk Florida Public Service Commission 2540 Shumard Oak Boulevard Gerald Gunter Building Tallahassee FL 32399

> Re: ALTAWORX, LLC's Application for Authority to Provide Telecommunications Service Within the State of Florida

### REDACTED

Dear Ms. Stauffer:

On behalf of Altaworx, LLC ("Applicant"), enclosed for filing is an original and one (1) copy of an Application for Authority to Provide Local Exchange Telecommunications Services within the State of Florida. Also enclosed is a check in the amount of \$500.00 to cover the requisite filing fee.

Pursuant to Sections 364.183(1) and 364.183(3), Florida Statutes, Applicant respectfully requests confidential treatment for the confidential and proprietary information included as confidential **Exhibit C** of the Application. Pursuant to Rule 25-22.006(5), Florida Administrative Code, one (1) copy of Applicant's financial information in a separate envelope marked confidential **Exhibit C** is being requested to be submitted and therefore filed and highlighted under seal.

Please date-stamp the extra copy of this cover letter and return it in the envelope provided.

Should you have any questions concerning this filing, please do not hesitate to contact me.

Respectfully Submitted,

Laura Garfinkel		
	COM	
Laura Garfinkel	AFD	E 5
Senior Telecommunications	APA	38 3 B
Consultant	ECO	5 E 4
Global Strategic Accountants, LLC ("GSA")		- <del>-</del>
6250 Shiloh Rd #110 Alpharetta GA, 30005	ENG	59
	GCL	
Phone: (973) 760-9218 Facsimile: (678) 304-6469	CIDMO I	
laura@gsaudits.com	CLK	

cc: Rickie Richie Forrest Derr

### FLORIDA PUBLIC SERVICE COMMISSION

# OFFICE OF INDUSTRY DEVELOPMENT AND MARKET ANALYSIS

APPLICATION FOR ORIGINAL AUTHORITY
OR TRANSFER OF AUTHORITY
TO PROVIDE
TELECOMMUNICATIONS SERVICE
IN THE STATE OF FLORIDA

#### **INSTRUCTIONS**

This form should be used as the application for an original certificate and transfer of an existing certificate (from a Florida certificated company to a non-certificated company). In the case of a transfer, the information shall be provided by the transferee. If you have other questions about completing the form, call (850) 413-6600.

Print or type all responses to each item requested in the application. If an item is not applicable, please explain. All questions must be answered. If unable to answer the question in the allotted space, please continue on a separate sheet.

Once completed, submit the original and one copy of this form along with a non-refundable fee of \$500.00 to:

Florida Public Service Commission Office of Commission Clerk 2540 Shumard Oak Blvd. Tallahassee, Florida 32399-0850 (850) 413-6770

### **APPLICATION**

This is an application for (check one):				
X - Original certificate (new company)				
Approval of transfer of existing certificate: Example, a non-certificated company purchases an existing company and desires to retain the original certificate rather than apply for a new certificate.				
Please provide the following:				
1. Full name of company, including fictitious name(s), that must match identically with name(s) on file with the Florida Department of State, Division of Corporations registration:				
Altaworx, LLC.				
<ol> <li>The Florida Secretary of State corporate registration number: M15000007814</li> <li>F.E.I. Number: 26-3574860</li> </ol>				
4. Structure of organization:				
The company will be operating as a: (Check all that apply):				
☐ Corporation       ☐ General Partnership         ☐ Foreign Corporation       ☐ Foreign Partnership         ☐ X Limited Liability Company       ☐ Limited Partnership         ☐ Sole Proprietorship       ☐ Other, please specify below:				
If a partnership, provide a copy of the partnership agreement.				
The Applicant is not a partnership.				

If a foreign limited partnership, proof of compliance with the foreign limited partnership statute (Chapter 620.169, FS). The Florida registration number is:

See <u>Exhibit A</u> for Applicant's Certificate of Authority to Transact Business in Florida.

5. Who will serve as point of contact to the Commission in regard to the following?

(a) This application:

Company: Global Strategic Accountants, LLC ("GSA")

Name: Laura Garfinkel

Title: Sr. Telecommunications Consultant

Street/Post Office Box: 6250 Shiloh Road, Suite 110

City: Alpharetta State: Georgia Zip: 30005

Telephone No.: 973-760-9218

Fax No.: 866-611-5443

E-Mail Address: laura@gsaudits.com

Website Address: http://www.gsaudits.com

(b) Ongoing operations of the company:

(This company liaison will be the point of contact for FPSC correspondence. This point of contact can be updated if a change is necessary but this must be completed at the time the application is filed).

Name: Forrest Derr

Title: Executive Vice President

Street/Post Office Box: 455 Magnolia Ave, Suite B

City: Fairhope State: Alabama

Zip: 36532

Telephone No.: 1-844-387-7383

Fax No.: 1-888-470-7918

E-Mail Address: compliance@altaworx.com

Website Address: www.altaworx.com

(c) Optional secondary point of contact or liaison:

(This point of contact will not receive FPSC correspondence but will be on file with the FPSC).

Name: Forrest Derr

Title: Executive Vice President

Street/Post Office Box: 455 Magnolia Ave, Suite B

City: Fairhope State: Alabama

Zip: 36532

Telephone No.: 1-844-387-7383

Fax No.: 1-888-470-7918

E-Mail Address: compliance@altaworx.com

Website Address: www.altaworx.com

PSC 1020 (4/18) Rule No. 25-4.004, F.A.C. 6. Physical address for the applicant that will do business in Florida:

Street/Post Office Box: 115 North Calhoun ST Suite 4

City: Tallahassee State: Florida

Zip: **32301** 

Telephone: 866-625-0838 Fax No: 866-625-0839

Email: Registered Agent Services

Note all correspondence by and through U.S. post.

- 7. List the state(s), and accompanying docket number(s), in which the applicant has:
  - (a) operated as a telecommunications company.

Altaworx, LLC is a long established Company that provides best in class telecommunications Products and Services. The Company has CLEC, CMRS and VoIP Certifications in multiple states to provide Services.

(b) applications pending to be certificated as a telecommunications company. \_\_\_

Not applicable at this time. The Company submits applications contingent upon business requirements to different states based on Company growth across and within the United States.

(c) been certificated to operate as a telecommunications company.

IL, TX, AL, MI, GA, SC, AK, CA, MO, KY, SD, NE, MS and additional states as business growth requires.

(d) **been denied authority** to operate as a telecommunications company and the circumstances involved.

Applicant has not been denied requested certification in any jurisdiction, nor has any authority been permanently revoked by any authority.

(e) had regulatory penalties imposed for violations of telecommunications statutes and the circumstances involved.

To management's knowledge, Applicant has not incurred any regulatory penalties for violations of telecommunications statutes.

PSC 1020 (4/18) Rule No. 25-4.004, F.A.C. (f) **been involved in civil court proceedings** with another telecommunications entity, and the circumstances involved.

# Applicant has not been involved in civil court proceedings with another telecommunications entity.

8.	The following questions pertain to the officers and directors. Have any been:
	(a) adjudged bankrupt, mentally incompetent (and not had his or her competency restored), or found guilty of any felony or of any crime, or whether such actions may result from pending proceedings? $\square$ Yes $\square$ $X$ No
	If yes, provide explanation.
	(b) granted or denied a certificate in the State of Florida (this includes active and canceled certificates)? ☐ Granted ☐ Denied ☐ X Neither
	Applicant has had no prior grant of certificate by the Commission.
	If granted provide explanation and list the certificate holder and certificate number.
	If denied provide explanation.
	(c) an officer, director, and partner in any other Florida certificated telecommunications company? $\square$ Yes $\square$ $\underline{X}$ No
	If yes, give name of company and relationship. If no longer associated with company, give reason why not.
9	demonstrate its managerial, technical, and linaricial ability to provide telecommunications service.
	<b>Note:</b> It is the applicant's burden to demonstrate that it possesses adequate managerial ability, technical ability, and financial ability. Additional supporting information may be supplied at the discretion of the applicant. For the purposes of this application, financial statements MUST contain the balance sheet, income statement, and statement of retained earnings.

(a) <u>Managerial ability</u>: An applicant must provide resumes of employees/officers of the company that would indicate sufficient managerial experiences of each. Please explain if a resume represents an individual that is not employed with the company and provide proof that the individual authorizes the use of the resume.

#### Exhibit B.

(b) <u>Technical ability</u>: An applicant must provide resumes of employees/officers of the company that would indicate sufficient technical experiences or indicate what company has been contracted to conduct technical maintenance. Please explain if a resume represents an individual that is not employed with the company and provide proof that the individual authorizes the use of the resume.

#### Exhibit B.

(c) Financial ability: An applicant must provide financial statements demonstrating financial ability by submitting a balance sheet, income statement, and retained earnings statement. An applicant that has audited financial statements for the most recent three years must provide those financial statements. If a full three years' historical data is not available, the application must include both historical financial data and pro forma data to supplement. An applicant of a newly established company must provide three years' pro forma data. If the applicant does not have audited financial statements, it must be so stated and signed by either the applicant's chief executive officer or chief financial officer affirming that the financial statements are true and correct.

#### Exhibit C.

Where will you officially designate as your place of publicly publishing your schedule a/k/a tariffs or price lists)? (Tariffs or price lists MUST be publicly published to comply with Florida Statute 364.04).
with Florida Statute 364.04).

☐ Florida Public Service Commission	
☐ Website – Please provide Website address:	
☐ <b>X</b> Other – Please provide address:	
Street/Post Office Box: 455 Magnolia Ave, Suite B City: Fairhope	

State: Alabama

Zip: 36532

#### THIS PAGE MUST BE COMPLETED AND SIGNED

**REGULATORY ASSESSMENT FEE:** I understand that all telecommunications companies must pay a regulatory assessment fee. A minimum annual assessment fee, as defined by the Commission, is required.

**RECEIPT AND UNDERSTANDING OF RULES:** I understand the Florida Public Service Commission's rules, orders, and laws relating to the provisioning of telecommunications company service in Florida.

**APPLICANT ACKNOWLEDGEMENT:** By my signature below, I, the undersigned owner or officer, attest to the accuracy of the information contained in this application and attached documents and that the applicant has the technical ability, managerial ability, and financial ability to provide telecommunications company service in the State of Florida. I have read the foregoing and declare that, to the best of my knowledge and belief, the information is true and correct. I have the authority to sign on behalf of my company and agree to comply, now and in the future, with all applicable Commission rules, orders and laws.

Further, I am aware that, pursuant to Chapter 837.06, Florida Statutes, "Whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his or her official duty shall be guilty of a misdemeanor of the second degree, punishable as provided in s. 775.082 and s. 775.083."

I understand that any false statements can result in being denied a certificate of authority in Florida.

#### COMPANY OWNER OR OFFICER

Print Name:	Rickie Richey			
Title:	CEO & President			
Telephone No.:	1-844-387-7383			
E-Mail Address:	rrichey@altaworx.com		-	
Signature:	1	_ Date: _	3/8/19	

## CERTIFICATE TRANSFER

## **Not Applicable to Applicant**

current holder of Florida Public Service Commission Certificate Numberave reviewed this application and join in the petitioner's request for a transfer of trificate.	, the
OMPANY OWNER OR OFFICER	
Print Name:  Title:  Street/Post Office Box:  City:	
State:	
Zip: Telephone No.:	
Fax No.:E-Mail Address:	
signature: Date:	

#### LIST OF EXHIBITS

Exhibit A - Authority to Transact Business

Exhibit B - Managerial and Technical Qualifications

Exhibit C - Financial Statements

CONFIDENTIAL - FILED WITH CLAIM OF CONFIDENTIALITY

# Exhibit A Authority to Transact Business

Division of Corporations Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

(((H15000233852 3)))



Note: DO NOT hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

To:

Division of Corporations

Fax Number

: (850)617-6383

\*RE-SUBMIT\*

From:

Please retain original filing

Account Name

Account Number : FCA000000023

: FCA000000023 date of submission 9/29

Phone Fax Number

: (850)878-5368

\*\*Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please. \*\*

	Addross:			
K. 70 St 3 I	ACCTORS.			

#### Foreign Limited Liability Company Altaworx LLC

Certificate of Status 0 Certified Copy 0 Page Count 06 Estimated Charge \$125.00

Electronic Filing Menu

Corporate Filing Menu

Help

K. SALY EXAMINER

nc.T -1 2015

https://efile.sunbiz.org/scripts/efilcovr.exe

9/29/2015

9/30/2015 4:46:06 PM From: To: 8506176383( 2/6 )

#### COVER LETTER

O:	Registration Section Division of Corporations	
1112 111.	CT: ALTAWORX LLC	
( ) 13/3 F/	Name of Limited Linbelly Company	
he end xisten	closed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida." Cert ce. and check are submitted to register the above referenced foreign limited liability company to transact business i	tilica in Flo
case r	return all correspondence concerning this matter to the following:	
	Forrest Derr	
	Name of Person	
	Altawork LLC	
	Firm/Company	
	P Q Box 1451	
	Address	
	Fairhope, Al. 36533	
	City/State and Zip Code	
	compliance@altaworx.com	
	E-mail address (to be used for future annual report notification)	
r furti	her information concerning this matter, please call:	
	· · · · · · · · · · · · · · · · · · ·	
	Forrest Derr at ( 404 ) 902-5657  Name of Contact Person Area Code Daytime Telephone Number	
	MAILING ADDRESS: STREET ADDRESS:	
	Division of Corporations Registration Section Registration Section	
	P.O. Box 6527 Clifton Building	
	Tallahassee, Fl. 32314 2661 Executive Center Circle Tallahassee, Fl. 32301	
nclos	ed is a check for the following amount:	
	□\$125.00 Filing Fee □\$130.00 Filing Fee & □\$155.00 Filing Fee & □\$160.00 Filing Fee. Certificate of Status Certified Copy of Status & Certified Copy	ente

# APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. ALTAWORX LLC (Name at Foreign Limited Liability Company; must include "Lia	ated Liability Company," "L.L.C.," or "LLC.")
(If name unavailable, enter alternate name adopted for the purpose of transaction Liability Company," "L.L.C," or "LLC,")	g husiness in Fronida. The alternate name must include "Limited
2, Alabiuna 3. 26-	3574860
(Jurisdiction under the taw of which foreign limited liability company is organized)	(FEI number, if upplicable)
4. 09/01/2015	
(Date first transacted business in Florida, (See sections 605,0904 & 605,0905, F.S. to	
5. 7530 Parker Road, Suite 210, Fairhope, AL 36532	SSE T
	W & M
(Street Address of Princ	sipal Office) Ro Z
6. PO Box 1451, Fairhope, AL 36533	Fig
<b>X</b>	22 6
(Mailing Addr	201
Rickie Richey, 7530 Parker Road, Sune 210, Pairhope, At. 36532 - (	CEO/President
8. Attached is an original certificate of existence, no more that having custody of records in the jurisdiction under the lay of acceptable. If the certificate is in a foreign language, a transmust be submitted)  Signature of an author accordance with section not not not not not not not not not n	which it is organized. (A photocopy is not ation of the certificate under oath of the translator orized person attendation under the penalties of perjury that the facts stated herein are true 1
am aware that any liftse information submitted in a document to the Department of State	constitutes a titled degree fermy as pro-sized to this of a 12 122-122-12
Rickie Richey	
Typed or printed name	of signee

9/30/2015 4:46:06 PM From: To: 8506176383( 4/6 )

# CERTIFICATE OF DESIGNATION OF REGISTERED AGENT/REGISTERED OFFICE

PURSUANT TO THE PROVISIONS OF SECTION 605.0113 or 605.0902 (1)(d). FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of the Limited Liability Com	pany is:
ALTAWORX LLC	
If unavailable, the alternate to be used in th	ne state of Florida is:
2. The name and the Florida street address	of the registered agent and office are:
c	T Corporation System
	(Nume)
1200	South Pine Island Roud
Plorida Street Ad	Idiess (P.O. Box NOT ACCEPTABLE)
Plant	City/State/Zip
liability company at the place designated in registered agent and agree to act in this cap statutes relating to the proper and complete	to accept service of process for the above stated limited this certificate. I hereby accept the appointment as acity. I further agree to comply with the provisions of all performance of my duties, and I am familiar with and istered agent as provided for in Chapter 605, Florida.
By higher had	
(Sign	nature)
*	Katherine Lackey, Asst. Secretary
\$ 100.00	
\$ 25.00	
\$ 30.00	
\$ 5.00	Certificate of Status (optional)

9/30/2015 4:46:06 PM From: To: 8506176383( 5/6 )

This page intentionally left blank

John H. Merrill Secretary of State

P.O. Box 5616 Montgomery, AL 36103-5616

# STATE OF ALABAMA

I, John H. Merrill, Secretary of State of Alabama, having custody of the Great and Principal Seal of said State, do hereby certify that

the entity records on file in this office disclose that AltaWorx LLC was formed in Baldwin County, Alabama on October 14, 2008. The Alabama Entity Identification number for this entity is 426-324. I further certify that the records do not disclose that said entity has been dissolved, cancelled or terminated.





20150929000017248

In Testimony Whereof, I have hereunto set my hand and affixed the Great Seal of the State, at the Capitol, in the city of Montgomery, on this day.

9/29/2015

Date

J. H. Merill

John H. Merrill

Secretary of State

# M1500007814

(Re	questor's Name)	
(Ad	dress)	
(Ád	dress)	
(Cit	y/State/Zip/Phone	#)
PICK-UP	☐ WAIT	MAIL
(Bu	siness Entity Nam	ne)
(Do	cument Number)	-
Certified Copies	Certificates	of Status
Special Instructions to	Filing Officer.	
1		

Office Use Only



800301693638

17 AUG -1 AH II: 49

TO AUG -1 PH 4-96

AUG 0 2 2017

Y SULKER



115 N CALHOUN ST., STE. 4 TALLAHASSEE, FL 32301 866.625.0838 COGENCYGLOBAL.COM

Date:August 1, 2017	Account#: I2000000088
Name:_Marisa Kugelmann_	
Reference #:C019445	
Entity Name: ALTAWORX LLC	
Articles of Incorporation/Authorization to Transact Busin	ness
Amendment	
✓ Change of Agent	
Reinstatement	
Conversion	17
Merger	17 AUG -
☐ Dissolution/Withdrawal	H.
Fictitous Name	AMII: 49
Other	, Leave 19

Authorized Amount: #25.00
Signature: W. \*\*

© CORPORATE HQ COGENCY GLORALING. 10 E 40 ST. 10 FL NY, NY 16016 800.271.0102

-1.212.947.7200

EUROPEAN HQ

-44 (0)20.3786.1090

COGENCY GLOBAL (UKO LIWITED PEC VERE) HENGLAND CHA FE WIS VERE THE PL 6 BEMS MARKS, MEL LONDON ECSA 784

ASIA PACIFIC HQ



115 N CALHOUN ST., STE. 4 TALLAHASSEE, FL 32301 866.625.0838 COGENCYGLOBAL COM

# STATEMENT OF CHANGE OF REGISTERED OFFICE OR REGISTERED AGENT OR BOTH FOR LIMITED LIABILITY COMPANY

Pursuant to the provisions of sections 605.0114, Florida Statutes, the undersigned limited liability company submits the following statement in order to change its registered office or registered agent, or both, in the State of Florida.

Name of the limited liability company: ALTAWORX LLC	
2. (a) Principal office address of limited liability company: 22765 U.S. 98. SUITE A  (Note: MUST BE STREET ADDRESS)	
, and the state of	Fairhope, AL 36532
(b) Mailing address of limited liability company: (Note: MAY BE POST OFFICE BOX)	PO Box 1451
	Fairhope, AL 36533
September 29, 2015	M15000007814
3. Date of filing/registration in Florida	4. Document number
5. (a) Registered Agent and Registered Office shown on the records of the Florida Dept. of State:	
Registered Agent:	C T CORPORATION SYSTEM
Registered Office Address:	1200 SOUTH PINE ISLAND ROAD
	PLANTATION, FL 33324
(b) Enter name of <u>NEW Registered Agent</u> and/or <u>NEW Registered Office address</u> :	
NEW Registered Agent:	COGENCY GLOBAL INC.
NEW Registered Office Address: (MUST BE FLORIDA STREET ADDRESS)	115 North Calhoun St., Suite 4
	Tallahassee FL 32301C
If the limited liability company is not organized under the la confirmed that after the change or changes are made, the Fland the business office of the registered agent will be identifiability company, it is hereby confirmed that the change(s) the members of the limited liability company or as otherwise the operating agreement of the limited liability company.	orida street address of the registered office cal. Or, in the case of a Florida fimite was/were authorized by an affiguative vote of
Signature of a member or authorized representative of a member	
FORMET DEAN	
Printed or typed name of signee	
I hereby accept the appointment as registered agent and accomply with the provisions of all statutes relative to the pround I am familiar with and accept the obligations of my post Chapter 605, F.S. Or, if this document is being filed to men address, I hereby confirm that the limited liability company	pree to act in this capacity. I further agree to per and complete performance of my duties, ition as registered agent as provided for in ely reflect a change in the registered office has been notified in writing of this change.

Signature of Registered Agent Sean Honan, Assistant Secretary

Division of Corporations, P.O. Box 6327, Tallahassee, FL 32314 FILING FEE: \$25.00

BALDWIN COUNTY, ALABAMA TIM RUSSELL PROBATE JUDGE Filed/cert. 12/22/2011 3:05 PM TOTAL S 56.00 16 Pages

#### ARTICLES OF ORGANIZATION

OF



#### ALTAWORX, LIMITED LIABILITY COMPANY

Pursuant to the Provisions of Section 10-12-1. Et seq. of the Code of Alabama (1975), the undersigned hereby adopt the following Limited Liability Company Articles of Organization.

#### ARTICLE I

#### Name

The name of the limited liability company is AltaWorx, Limited Liability Corporation, (the "Company").

#### ARTICLE II

#### Duration

The Company's duration shall be perpetual, unless it is dissolved and its affairs wound up prior to that date in accordance with the Alabama Limited Liability Company Act (the "Act").

State of Alabama Tomato, hereby certify that

#### ARTICLE III

Purposes

Articles of Org 1317536

The purposes for which the Company is formed are:

- (a) To provide internet related telephone service and related matters;
- (b) To render to others, and to engage in the business of rendering to others, consulting, advisory, administrative, industrial engineering, accounting, bookkeeping and other services of every nature, kind and character, which it may legally render;
- (c) To engage in any industrial, manufacturing, mining, mercantile, trading, agricultural, service, or other lawful business of any kind or character whatsoever.
- (d) To act as agent, representative, or receiver of any person, firm, corporation, or governmental entity or instrumentality in respect to any lawful undertaking or transaction;
- (e) To purchase, take, receive, lease or otherwise acquire, own, hold, improve use and otherwise deal in or with, real or personal property, or any interest therein,

wherever situated, and to sell, convey, mortgage, pledge, lease exchange, transfer and otherwise dispose of real or personal property, or any interest therein;

- (f) To purchase, take, receive, subscribe for, or otherwise acquire, own, hold, vote, use, employ, sell, mortgage, lend, pledge, or otherwise dispose of, and otherwise use and deal in and with, shares or other interests in, or obligations of, corporation, associations, partnerships, limited liability companies, individuals, or direct or indirect obligations of governmental entities or of any instrumentality thereof:
- (g) To lend money, invest and reinvest its funds, and take and hold real and personal property as security for the payment of funds so loaned or invested; and
- (h) To engage in any other lawful act or activity for which limited liabilities companies may be organized pursuant to the Act.

#### ARTICLE IV

#### Registered Office; Registered Agent

The location and street address of the initial registered office of the Company shall be 5920 Sweetwater Circle, Fairhope, Alabama 36532, and its registered agent at such address shall be Rickie Richey.

#### ARTICLE V

#### **Initial Members and Organizers**

The names and addresses of the initial members are:

Rickie Richey 5920 Sweetwater Circle Fairhope, Alabama 36532

Robin Greiner 4526 Black Oak Woods San Antonio, Texas 78249

The name and address of the organizer is:

Rickie Richey 5920 Sweetwater Circle Fairhope, Alabama 36532

#### ARTICLE VI

#### **Admission of Additional Members**

Upon the unanimous written consent of the members, the Company may permit the admission of additional members and the terms and conditions of their admission shall be forth in the Company's Operating Agreement.

#### ARTICLE VII

#### Cessation of Membership

The cessation of membership of one or more members will not result in the dissolution of the Company.

IN WITNESS WHEREOF, these Articles have been subscribed as of the day of November, 2011, by the undersigned members/organizer, who affirm that the statements made herein are true under the penalties of perjury.

RICKIE RICHEY, Member

ROBIN GREINER, Member

Beth Chapman Secretary of State

P. O. Box 5616 Montgomery, AL 36103-5616

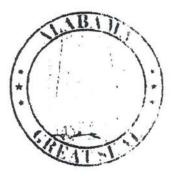
# STATE OF ALABAMA

I, Beth Chapman, Secretary of State of Alabama, having custody of the Great and Principal Seal of said State, do hereby certify that

pursuant to the provisions of Title 10A, Chapter 1, Article 5, Code of Alabama 1975, and upon an examination of the entity records on file in this office, the following entity name is reserved as available:

AltaWorx, LLC

This domestic limited liability company is proposed to be formed in Alabama and is for the exclusive use of Michael S McNair, 2151 Government St, Mobile, AL 36606 for a period of one hundred twenty days beginning December 22, 2011 and expiring April 20, 2012.



593-554

In Testimony Whereof, I have hereunto set my hand and affixed the Great Seal of the State, at the Capitol, in the city of Montgomery, on this day.

December 22, 2011

Date

Beth Chapman

Beth Chapman

Secretary of State

### Exhibit B

**Managerial and Technical Qualifications** 

#### Rickie Richey - CEO & President

Rickie is CEO of Altaworx. His experience in telecommunications industry began as an account manager in 1998, selling business telephone systems and BellSouth services. Rickie started Telecom Agent Solutions, LLC, now Altaworx, in 2003, after acquiring the telecom division of Evolution Technology Group. Utilizing his knowledge and experience, Rickie grew the company from a local VAR/agent company to a nationwide ITSP and reseller of AT&T services. Rickie teamed up with AT&T in 2012, becoming the first member of the AT&T Partner Exchange Program and quickly accelerated to the first participant to ever reach Platinum Elite status, the premier level of the program.

Over the years, Rickie has earned the trust of MSP's and VAR's to incorporate IP voice, data, and mobility solutions into the service mix Altaworx already provides their clients. He forges solid relationships by listening and understanding his partner's needs and in turn, educating them on how the Altaworx solutions will have a positive impact on revenue and their clients' business. Having been asked to be a guest speaker on panels for many Channel Partner events, Rickie has shared his thoughts and expertise on various issues that impact this industry.

If Rickie isn't in his office, or on his stationary exercise bike fully equipped with a custom laptop mount on the handle bars, he's more than likely on a boat somewhere off the coast of Louisiana, running the streets of Fairhope in preparation for his next Iron Man triathlon, or enjoying life's simple pleasures with his wife, Cathy and their chocolate lab, Belle.

#### Forrest Derr - Executive Vice President

Forrest is EVP for Altaworx. He has been on the Altaworx team since February 2015 and prior spent 18 years as the Vice President of Operations and Technology at an office supply & furniture company. Drawing on his experience, he uses his marketing and process development skills to improve awareness of Altaworx as a thought leader in the market place as well as automating processes to enhance efficiency and the customer experience.

His energy, focus, determination and breakfast gumbo has positioned him as an asset. Something worthy of note, Forrest has been gifted the ability to explain technical issues in terms most end users can understand. Forrest earned his Bachelors of Science in Business Management from the University of Mobile. He is an active member of the Mobile Business Group and the Eastern Shore Business Group. When Forrest isn't working, he's spending time with his wife, Amber and their teenage son, Brandon. He enjoys a good Saturday morning breakfast, community events, Sage Lemonade, and walking their dog (Darby).

#### Jaime Rawden - Vice President

Jaime is the VP of Partner Development and Marketing with Altaworx. She entered the telecom field in 2001 as a Project Manager for a BellSouth Solution Provider. In 2003 Jaime joined Rickie Richey to form Telecom Agent Solutions, now Altaworx, to provide premise based VoIP telephone systems and carrier services as a BellSouth Master Solution Provider.

Today Altaworx is an ITSP and reseller of Tier 1 carrier solutions with customers in 30 US states, Canada and Mexico. Her varied skills and years of experience make her a true asset to the team. In 2016, Jaime was asked to be a guest speaker on IoT Connectivity for Channel Partners in Washington, DC. In 2012, Jaime relocated to Fairhope, AL where she now resides with her family. When not working, Jaime is very involved with the activities of her four music & sports-minded children: Brianna, Savannah, Blaine and Danielle.

#### Lydia Walker - Vice President Operations

Lydia is VP of Operations for Altaworx. Tenaciously passionate best describes Lydia when it comes to the company and her team. Having been introduced to the telecom field at an early age, Lydia has gained her knowledge and insight through hands-on experience while exploring the various sectors of the industry. Prior to joining the Altaworx team in 2007, she was an Office Manager at ICS Communications.

With over 14 years of experience, Lydia is a great source of knowledge and applies her expertise daily to ensure quality service to all customers. Lydia has successfully guided local, national and multi-national clients throughout the timeline of their services. Lydia offers successful leadership in all aspects of the operations department.

With proper application of expertise, genuine interest and leadership training, Lydia is at home with her current role as a leader in creating excellence with the help of her team. Within the office, she keeps coworkers on their toes and elevates team morale with her uncanny knack for having a sarcastic, yet quickwitted remark at the drop of a hat. It's truly an impressive skill which she has mastered.

In addition to her role as Director of Operations, Lydia likes to delight the taste buds of those who are favored enough to sample her savory creole cuisine. She is heavily involved with her church and community. When she's not working, Lydia spends as much time as she can with her big family, her son, Jacob (who's smarter than she is if you ask him), and her three four-legged friends: Milly, Shelby and Roxy.

#### Exhibit C

### **Financial Statements**

# CONFIDENTIAL - FILED WITH CLAIM OF CONFIDENTIALITY

# ALTAWORX, LLC. CERTIFYING OFFICER LETTER



March 5, 2019

Ms. Carlotta Stauffer, Commission Clerk Florida Public Service Commission 2540 Shumard Oak Boulevard Gerald Gunter Building Tallahassee FL 32399

Dear Ms. Stauffer,

This letter is to provide you with verification that to the best of my knowledge the financial information included with this submission are true and correct. As certifying officer of the company I can attest to this fact.

The financial information provided with this submission should be filed under seal and is marked as confidential. The financial information provided to the Commission should only and solely be used for internal Commission use, and is being filed under seal in order to not be disclosed to the general public.

Should you have any questions regarding the enclosed financial information please feel free to me.

Very Fruly Yours,

Rickie Richey CFO/& President

ALTAWORX, LLC

# COMPANY FINANCIALS UNDER SEAL