NOTICE OF PROPOSED RULE DEVELOPMENT

FLORIDA PUBLIC SERVICE COMMISSION

DOCKET NO: 980500-PU

APP ____ CAF ____ CMU ____ CTR ____ EAG _____ LEG ____ LIN

OPC ____

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WAS ____

OTH ____

	RULE TITLE:	RULE NO.	
	Notice of Meeting or Workshop	25-22.001	
	Agenda of Meetings	25-22.002	
	Rulemaking ProceedingAdoption	25-22.017	
	Filing, Service of Documents, and Computation of Time	25-22.028	
	Point of Entry into Proposed Agency Action Proceedings	25-22.029	
	Initiation of Formal Proceedings	25-22.036	
	Notices of Public Hearings	25-22.0405	
	Notice and Public Information on General Rate Increase Requests by Electric, Gas and Telephone Companies	25-22.0406	
	Notice of and Public Information for General Rate Increase Requests by Water and Wastewater Utilities	25-22.0407	
	Subpoenas	25-22.045	
	PURPOSE AND EFFECT: To conform the rules to the	exceptions the	ð
	Commission has obtained to the Uniform Rules of	Procedure. Ir	1
	addition, procedural rules within the scope of the	e uniform rules	3
ACK	but for which the Commission was denied an exc	ception will be	
AFA	repealed.		
CAF	SUBJECT AREA TO BE ADDRESSED: Conformance of the Commission's		
CMU	procedural rules to the exceptions to the Un	iform Rules of	
CTR	Procedure granted by the Administration Commission	a.	
LEG	SPECIFIC AUTHORITY: 350.127(2), 350.01(7), 366.05	, 367.121(1)(f),	Š

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DOCUMENT Nº MOFIL DATE

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FPSC-RECORDS/REPORTING

LAW IMPLEMENTED: 120.525, 120.54(3), 120.569, 120.57, 366.06, 367.081, 367.081(4)(a), 367.0817, 120.58, 350.123, 364.03, 364.04, 364.035, 364.057, 364.335, 364.337, 366.03, 366.04, 366.041, 366.06, 366.071, 366.076, 366.8255, 367.031, 367.045, 367.071, 367.081, 367.0814, 367.0817, 367.082, 367.0822, 367.091, 367.101, 367.121, 367.171, 367.161, 364.035(1), 364.07, 364.14, 364.15, 364.16, 364.27, 366.05, 367.111, 367.0814(1), FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE TIME, DATE, AND PLACE SHOWN BELOW:

TIME AND DATE: 9:30 a.m., Wednesday, January 6, 199%

PLACE: Room 171, Betty Easley Conference Center, 4075 Esplanade Way, Tallahassee, Florida.

THE WORKSHOP REQUEST MUST BE SUBMITTED IN WRITING WITHIN 14 DAYS OF THE DATE OF THIS NOTICE TO THE COMMISSION'S DIVISION OF RECORDS AND REPORTING, 2540 SHUMARD OAK BOULEVARD, TALLAHASSEE, FL 32399-0850.

Any person requiring some accommodation at this workshop because of a physical impairment should call the Division of Records and Reporting at (850) 413-6770 at least 48 hours prior to the hearing. Any person who is hearing or speech impaired should contact the Florida Public Service Commission by using the Florida Relay Service, which can be reached at: 1-800-955-8771 (TDD). THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Christiana T. Moore, Division of Appeals, Florida Public Service Commission,

2540 Shumard Oak Blvd., Tallahassee, FL 32399-0862, (850) 413-6098.

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS:

CHAPTER 25-22

RULES GOVERNING PRACTICE AND PROCEDURE

PART I GENERAL PROVISIONS

25-22.001 Notice of Meeting or Workshop.

- (1) Except in the case of emergency meetings the Commission shall give at least seven (7) days' public notice of any meeting or workshop by publication in the Florida Administrative Weekly.
- (2) The Commission shall use the following form in providing notice of the meeting or workshop.

NOTICE OF PUBLIC MEETING OR WORKSHOP

The Florida Public Service Commission announces a public meeting or workshop to which all persons are invited.

DATE-AND	TIME: _	
PLACE:		
DUBDOSE.		

A copy of the agenda for any public meeting may be obtained by writing to the Division of Records and Reporting at 2540 Shumard Oak Boulevard, Tallahassee, Florida 32399 0850.

(1)(3) A <u>public</u> meeting, for the purposes of notice <u>in Rule</u>
28-102.001, <u>F.A.C.</u> herein, is limited to a gathering of
Commissioners for the purpose of conducting public business. The
term meeting includes Agenda Conference.

(2)(4) A workshop, for the purposes of notice in Rule 28-102.001, F.A.C., is a gathering where Commissioners may be present or Commission staff or person(s) designated by the commission are meeting with interested persons for a specific purpose as designated in the notice. The term workshop includes gatherings for the specific purpose of rule drafting at which time no official votes are taken or policy adopted.

Specific Authority 350.127(2) 120.53 FS.

Law Implemented 120,525, 120.53 FS.

History--New 12-21-81, Formerly 25-22.01, Amended ... 25-22.002 Agenda of Meetings.

- (1) The agenda for meetings shall be prepared by the Commission in time to insure that a copy may be received at least seven (7) days before the meeting by any person in the State who has requested a copy and pays \$1.00 per copy.
- (2) The agenda shall list and specify the items to be considered at the meeting.
- (3) For good cause stated on the record, the person designated to preside may make specific changes in the agenda after it has been made available for distribution. A majority vote of a quorum of the Commission is required to modify the presiding officer's decision to make a specific change in the agenda.
- (4) Copies of staff recommendations for items on the agenda may be obtained from the Division of Records and Reporting upon request and the payment of the applicable copying fee. Parties to a proceeding are entitled to one copy of the staff recommendation filed in the proceeding at no cost.

Specific Authority 350.127(2) 120.53 FS.

Law Implemented 120.525, 120.53 FS.

History--New 12-21-81 Formerly 25-22.02, Amended 4-18-94,______.

PART II RULEMAKING PROCEEDINGS

25-22.017 Rulemaking Proceeding -- Adoption.

- (1) No Change.
- (2) Oral argument and petitions for reconsideration are not appropriate to the rulemaking process. However, any interested person may petition the Commission after a rule is adopted or amended, for initiation of rulemaking proceedings pursuant to Rule 28-103.006 25-22.012, to amend or otherwise modify the adopted rule or amendment.
- (3) Where a variance from a rule is requested, the Commission shall treat the request as a petition for a 5 120.57, F.S., hearing. Unless waived by all parties, the provisions of 5 120.57(1), F.S., and Part IV of these rules shall apply whenever the proceeding involves a disputed issue of material fact. In cases where the provisions of 5 120.57(1), F.S., do not apply, the provisions of 5 120.57(2), (3), F.S., shall be followed.

Specific Authority 350.127(2) 120.53 FS.

Law Implemented 120.525, 120.54(3) 120.53 FS.

History--New 12-21-81, Amended 10-25-83, Formerly 25-22.17, Amended

PART IV DECISIONS DETERMINING SUBSTANTIAL INTERESTS

Subpart A General Provisions

25-22.028 Filing, Number of Copies Service of Documents, and Computation of Time.

(1) Filing. Filing shall be accomplished by submitting the original document and the appropriate number of copies, as provided by rule, to the Division of Records and Reporting (Division). Filing may be made by U.S. Mail, hand delivery, or courier service. Filings on behalf of companies with gross annual operating revenues derived from intrastate business in excess of \$750,000, Class A water and sewer utilities, and parties to proceedings affecting these entities, shall also include a copy of the document on diskette in word processing or spreadsheet format, whichever is appropriate, when filing documents capable of being generated by word processing or spreadsheet software. Material for which a request for confidential classification is pending under Rule 25-22.006, Florida Administrative Code, should not be included in a diskette copy. The diskette filing need not recreate document attachments not originally generated for the purpose of filing with the Commission, such as exhibits attached to a petition. The copy of the document on diskette shall be filed within 1 working day of the date the original printed document is filed. Information about the word processing and spreadsheet software preferred by the Commission may be obtained from the Director of the Division. Each diskette shall be accompanied by a statement specifying the density of the diskette, the operating system and the software used to pare the document on diskette. For purposes of the requirement that copies of documents be filed on diskette, "document" means all prehearing statements regardless of length, and pleadings, post hearing statements of positions on issues or briefs, proposed

findings of fact and conclusions of law in excess of 5 pages exclusive of the service list. The prehearing officer assigned to the proceeding may grant exceptions to the requirement for filing documents on diskette on a case by case basis, for good cause shown. In case of a discrepancy between an original printed document that is filed and the document on diskette, the filed original document shall prevail. Filing shall be completed upon receipt by the Division; provided, however, that during the course of a hearing before the Commission or a panel thereof, the presiding chairman may accept a document for filing and shall note the filing date and transmit it to the Division. If the filing is made during the course of a proceeding with the presiding officer. as allowed in Rule 28-106.104(1). In this situation the responsible party shall ensure that a copy of the document is submitted on diskette to the Division within 5 working days. The date a document is submitted for filing shall be noted by the person making the filing on the first page of the document filed. Where a document is served upon a party pursuant to these rules, its original and the appropriate copies, or, in the case of interrogatories or production of documents, a notice of service, shall be filed with the Division no later than 5 days after service.

The following is taken from 25-22.0375(3):

(2) Number of Copies. The original and seven copies of all pleadings shall be submitted to the Division of Records and Reporting. However, initial pleadings for increases in rates. except rate increases by water and wastewater utilities, shall be

filed with 20 copies. The requirement for filing 20 copies shall apply to all exhibits appended to the original petition, prepared testimony and exhibits, briefs and other supplemental data requested by the Commission.

- (2) Service. A copy of all documents filed pursuant to these rules shall be served on each of the parties no later than the date of filing. In the event that the parties are so numerous that this requirement is enerous, the Commission on motion may limit the number of copies to be served. Service by mail shall be completed upon mailing.
- (3) Method and Proof of Service.
- party represented by an attorney, service shall be made upon a party represented by an attorney, service shall be made upon the attorney unless service upon the party is ordered by the Commission. Service on the attorney or party shall be made by hand delivery or by mail to the last known address. Delivery of a copy within this rule shall mean handing it to the attorney or to the party, or leaving it at the party's or attorney's usual office with the clerk or other person in charge, or if there is no one in charge, leaving it in a conspicuous place within the office, or if the office is closed, leaving it at the party's or attorney's usual place of abode with some person of the family above fifteen years of age and informing that person of the contents.
- (b) When an attorney or a party certifies in substance:

 "I certify that a copy hereof has been furnished to (here insert name or names) by (delivery) (mail) this _____ day of _____,

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Gignature*

the certificate shall be taken as prima facie evidence of service in compliance with this rule.

(4) Additional Time after Service by Mail. Whenever a party is required or permitted to do an act within a prescribed time after service of a document, and the document is served by mail, five days shall be added to the prescribed time, except that responses to notices pursuant to Sections 350.113, 364.335, and 367.045, F.S., shall be filed within the time specified by statute. Any action required by a Commission order shall be completed on the specified date, if any, in the order.

(5) Computation of Time. In computing any period of time prescribed or allowed by these rules, by order of a presiding officer, or by any applicable statute, the day of the act from which the designated period of time begins to run shall not be included. The last day of the period shall be included unless it is a Saturday, Sunday or legal holiday in which event the period shall run until the end of the next day which is neither a Saturday, Sunday, or legal holiday. As used in these rules, legal holiday means those days designated in 5 110.117, F.S., and any other day the Agency's offices are closed.

Specific Authority 350.01(7), 350.127(2) 120.53 FS.

Law Implemented 120,569, 120.57 120.53 FS.

History--Formerly 25-2.58, Amended 10-21-81, Formerly 25-22.28,

Amended 2-11-92,_____.

25-22.029 Point of Entry Into Proposed Agency Action Proceedings.

(1) At any time subsequent to the initiation of a proceeding before the Commission, the Commission may give notice of proposed agency action. Proposed agency action shall be made upon a vote of the Commission, and may be reflected in the form of an order or a notice of intended action.

(1)(2) After agenda conference, the Division of Records and Reporting shall issue written notice of the proposed agency action (PAA), advising all parties of record that they have 21 days after issuance of the notice in which to file a request for a \$ 120,569 or 120.57 hearing. For good cause shown the Commission may provide that The time for requesting a \$ 120,569 or 120.57 hearing shall be 14 days from issuance of the notice for PAA orders establishing a price index pursuant to section 367,081(4)(a). F.S. The Commission will may also serve copies of its notice on interested persons and may require a utility to serve written notice of the PAA on its customers.

(2)(3) The Commission may publish notice of its proposed agency action in the Florida Administrative Weekly or newspapers of general circulation in the area affected by its action and may will require a utility to publish notice of the decision in newspapers of general circulation in its service area if it is found by the Commission to be necessary in order to afford adequate notice. Any such publication may be used in establishing the date of receiving notice.

(3) (4) One whose substantial interests may or will be affected by the Commission's proposed action may file a petition for a § 120.569 or 120.57 hearing, in the form provided by Rule 28-106.201, F.A.C. 25 22.936. Any such petition shall be filed within the time stated in the notice issued pursuant to subsection (1)(2) of this rule. However, when a person has not been served notice of the decision and notice has been published, such person may file a petition within 14 days after publication of the notice.

(5) Any person who receives notice and who fails to file a timely request for a \$ 120.57 hearing shall have waived his or her right to request a hearing on the decision.

(6) In the absence of a timely request for a \$ 120.57 hearing, and unless otherwise provided by a Commission order, the proposed action shall become effective upon the expiration of the time within which to request a hearing.

Specific Authority 350.01(7), 350.127(2) 120.53 FS.

Law Implemented 120.569, 120.57, 364.05, 366.06, 367.081, 367.081(4)(a), 367.0817 120.53 FS.

History--New 12-21-81, Formerly 25-22.29, Amended 7-8-92,

Subpart B Prehearing Procedures

^{25-22.036} Initiation of Formal Proceedings.

⁽¹⁾ Applicability. This section shall apply to all \$ 120.57(1) and (2) hearings including a hearing requested by a substantially affected person subsequent to proposed agency action. This rule shall not apply to the extent that a proceeding is governed by

rules or statutes that prescribe alternative procedures.

(2) Initial Pleading. The initial pleading shall be entitled as either an application, petition, complaint, order, or notice, as set forth in subsections (3), (4), (5), and (6). Where the Commission has issued notice of proposed agency action, the initial pleading shall be entitled "Petition on Proposed Agency Action."

(1)(3) Application. An application is appropriate when a person seeks authority from the Commission to engage in an activity subject to Commission jurisdiction.

- (4) Petition. A petition is appropriate when-
- (a) A person subject to Commission jurisdiction seeks authority to change its rates or service, or seeks some other Commission action not otherwise specified in these rules; or
- (b) A substantially affected person seeks Commission action to change the rates or service of a person subject to Commission jurisdiction, or seeks some other Commission action not otherwise specified in these rules.

(2)(5) Complaints. A complaint is appropriate when a person complains of an act or omission by a person subject to Commission jurisdiction which affects the complainant's substantial interests and which is in violation of a statute enforced by the Commission, or of any Commission rule or order.

(3)(6) Orders and Notices. Upon its own motion, the Commission may issue an order or notice initiating a proceeding. Such order or notice shall be served upon all persons named therein. The Commission may also transmit notice of its action to other persons

requesting such notice, and may publish such notice in appropriate newspapers of general circulation and the Florida Administrative Weekly.

(4) (7) Form and Content.

- (a) Generally. Except for orders or notices issued by the Commission, each initial pleading should contain:
- 1. The name of the Commission and the Commission's docket number, if known;
- 2. The name and address of the applicant, complainant or petitioners, and an explanation of how his or her substantial interests will be or are affected by the Commission determination;
- 3. A statement of all known disputed issues of material fact.

 If there are none, the petition must so indicate;
- 4. A concise statement of the ultimate facts alleged, as well as the rules and statutes which entitle the petitioner to relief;
- 5. A demand for relief; and
- 6. Other information which the applicant, complainant or petitioner contends is material.

(b) Application. An application shall be governed by the statute or rules applicable to applications for authority. In the absence of a specific form and content, the application shall conform to this rule.

(b) (e) Complaint. Each complaint, in addition to the requirements of paragraph (a) above shall also contain:

- 1. The rule, order, or statute that has been violated;
- 2. The actions that constitute the violation;

- The name and address of the person against whom the complaint is lodged;
- The specific relief requested, including any penalty sought.
- (d) When a petitioner seeks relief against another person, the petition shall include the name and address of the person against whom relief is sought in addition to the requirements of paragraph (a) of this subsection.
- (c)(e) Petitions for rate relief shall conform to all applicable rules and statutes as to form and content, in addition to the requirements of paragraph (a) of this subsection.
- (f) Petition on Proposed Agency Action. When a petition is filed in response to proposed agency action the petition shall also contain a statement of when and how notice of the Commission's proposed agency action was received.
- (8) Amendments. A petition, application or complaint may be amended prior to the filing of a responsive pleading or the designation of a presiding officer by filing and serving an amended initial pleading in the manner prescribed for filing and serving an original petition, application or complaint. The petitioner, complainant, or applicant may amend its initial pleading after the designation of the presiding officer only upon order of the presiding officer.
- (9) Disposition-
- (a) Where an application, petition, or complaint has been filed, and Commission action has not yet been proposed, the

Commission may: 1. Deny the potition if it does not adequately state a substantial interest in the Commission determination or if it is untimely 2. Issue notice of proposed agency action where a rule or statute does not mandate a hearing as a matter of course, and after the time for responsive pleadings has passed; 3. Set the matter for hearing before the Commission, or member thereof, or request that a hearing officer from the Division of Administrative Hearings be assigned to conduct the hearing. The assignment of a matter for hearing shall be pursuant to Rule 25 22.03551 4. Dispose of the matter as provided in § 120.57(2). (b) Where a petition on proposed agency action has been filed, the Commission may: 1. Deny the potition if it does not adequately state a substantial interest in the Commission determination or if it is untimely-2. Grant the petition and determine if a Section 120.57(1) hearing or a Section 120.57(2) hearing is required. (c) The Commission shall promptly give written notice to all parties of the action taken on the petition, and shall state with particularity its reasons therefore.

of the Division of Administrative Hearings be assigned to conduct

the hearing, the Commission shall forward the initial pleading, and

(d) If the Commission elects to request that a Hearing Officer

all materials filed with the Commission, to the Division of Administrative Hearings, and shall notify all parties of its action.

(10) Service of Initial Pleadings. Where a petition seeks relief against a person, or a complaint is filed, a copy of the petition or complaint shall be served on all persons named therein. Where a petition on proposed agency action is filed, a copy shall be served on all parties of record.

Specific Authority 350.01(7), 350.127(2) 120.53 FS.

Law Implemented 120.569, 120.57, 364.035, 364.05, 364.057, 364.335, 364.337, 366.04, 366.06, 366.071, 366.076, 366.8255, 367.031, 367.045, 367.071, 367.081, 367.0814, 367.0817, 367.082, 367.0822, 367.091, 367.101, 367.171, 120.53 FS.

History--New 12-21-81, Formerly 25-22.36, Amended ... 25-22.0405 Notices of Public Hearings.

- (1) The provisions of this rule shall apply to all public hearings conducted by the Commission, except rulemaking hearings held pursuant to Rules 25 22,010 through 25 22,018, F.A.C.
- (2) Notice of such public hearings shall be given by the Commission to the clerk of the board of county commissioners of each county affected, the chief executive officer of each municipality to the area affected, all parties of record and all persons who have requested notice of such proceedings.
- (3) A summary of the subject matter and notice of hearing shall be published by the Commission in the Florida Administrative Weekly. The summary shall be drawn and notice given as required by

the provisions of the statute under which relief is sought, if applicable, but shall not be published less than 14 days prior to the hearing.

euch proceedings to publish additional notices of hearing in newspapers of general circulation in the area affected and to give notice to its customers by mail, if it is found as may be deemed reasonably necessary by the Commission to be necessary in order to afford adequate notice to the customers of the utility.

(5) When the Commission determines that the health, safety, or welfare of the public requires an emergency hearing, notice may be accomplished by giving notice to those parties listed in subsection (2) not less than 48 hours prior to the time scheduled for the hearing.

Specific Authority 350.127(2), 366.05, 367.121(1)(f) FS.

Law Implemented 120.569, 120.57, 364.03, 364.035(1), 364.07, 364.14, 364.15, 364.16, 364.27, 366.04, 366.05, 367.081, 367.111 FS.

History--New 1-27-72, Formerly 25-2.981, Amended 12-21-81, 9-27-83,

^{25-22.0406} Notice and Public Information on General Rate Increase Requests by Electric, Gas and Telephone Companies.

^{(1) - (3)(}a) No Change.

⁽b) In addition to the locations listed above, if the Commission determines that the locations listed above will not provide adequate access, the Commission will may require that

copies of the MFRs be placed at other specified locations.

(4) (a) - (8) No Change.

Specific Authority 350.127(2), 366.05 FS.

Law Implemented 120.569, 120.57, 364.035(1), 364.04, 364.05, 366.03, 366.041, 366.06 FS.

History--New 9-27-83, Formerly 25-22.406, Amended 5-27-93.

25-22.0407 Notice of and Public Information for General Rate
Increase Requests by Water and Wastewater Utilities.

- (1) (2) No Change.
- established by the Commission, the utility shall place a copy of the petition and the MFRs at its official headquarters and at any business offices it has in the service areas included in the rate request. Such copies shall be available for public inspection during the utility's regular business hours. If the utility does not have a business office in a service area included in its rate request, the utility shall place a copy of the petition and the MFRs at the main county library, the local community center or other appropriate location which is within or most convenient to the service area and which is willing to accept and provide public access to the copies. If the Commission determines that these locations will not provide adequate access, tThe Commission will may require that copies of the petition and MFRs be placed at other specified locations.
- (4)(a) (10) No Change. Specific Authority 350.127(2), 367.121(1)(f) FS.

Law Implemented 120.569, 120.57, 367.081, 367.0814(1), 367.0817, 367.091, 367.121 FS.

History--New 5-27-93, Amended .

Subpart C Conduct of Formal Hearings 25-22.045 Subpoenas.

(1) When the proceeding is before the Commission or member thereof, subpoenas may be issued by the presiding officer or the Division of Records and Reporting on subpoena forms supplied by the Commission. When the proceeding is before an administrative law judge a hearing officer of the Division of Administrative Hearings, subpoenas may be issued by the Administrative Law Judge Hearing Officer.

- (2) A party shall apply in writing for the issuance of subpocase requiring the attendance of witnesses or production of records, files, and memoranda from any place in the state, at any designated place of hearing before the presiding officer, for the purpose of taking the testimenty of such witness or inspection of documents. An application for the subpocase shall state the name and address of the witness for whom the subpocase is to be issued, and the time and place for the witness to appear.
- (3) Any party or person against whom a subpoena is directed may file a motion to quash or limit the subpoena with the agency having jurisdiction of the dispute. The motion shall set forth the grounds relied upon.
- (4) A subpoces may be served by any person authorized by law to serve process or by any person who is not a party and who is of

majority age. Service shall be made by delivering a copy thereof to the person named in the subpoens. Proof of service shall be made by affidavit of the person making service if service is not made by an officer authorised by law to do so.

(5) Service of a subpoena may also be effected by certified mail, in which case the return receipt must be signed by the person named in the subpoena.

Specific Authority 350.127(2) FS.

Law Implemented 120.569, 120.57, 350.123 FS.

History--Formerly 25-2.100, Amended 12-21-81, Formerly 25-22.45.

Amended _____.