

VOTE SHEET

November 30, 2010

Docket No. 100127-WS – Application for increase in water and wastewater rates in Marion County by Tradewinds Utilities, Inc.

Issue 1: Should the Utility's proposed final water and wastewater rates be suspended?

Recommendation: Yes. Tradewinds' proposed final water and wastewater rates should be suspended.

APPROVED

COMMISSIONERS ASSIGNED: All Commissioners

COMMISSIONERS' SIGNATURES

MAJORITY

DISSENTING

Handwritten signatures in the majority column, including names like "Liz Edy" and "RB".

Empty lines in the dissenting column.

REMARKS/DISSENTING COMMENTS:

Handwritten note: oral modification, Document Number 09598-10 attached -cc

DOCUMENT NUMBER-DATE

09653 NOV 30 09

• Vote Sheet

November 30, 2010

Docket No. 100127-WS – Application for increase in water and wastewater rates in Marion County by Tradewinds Utilities, Inc.

(Continued from previous page)

Issue 2: Should an interim revenue increase be approved?

Recommendation: Yes. Tradewinds should be authorized to collect annual water and wastewater revenues as indicated below:

	<u>Adjusted Test Year Revenues</u>	<u>\$ Increase</u>	<u>Revenue Requirement</u>	<u>% Increase</u>
Water	\$123,343	\$75,669	\$199,011	61.35%
Wastewater	\$208,879	\$20,716	\$229,595	9.92%

APPROVED

Issue 3: What are the appropriate interim water and wastewater rates?

Recommendation: The water and wastewater service rates for Tradewinds in effect as of December 31, 2009, should be increased for water by 62.93 percent and for wastewater by 9.92 percent to generate the recommended revenue increase for the interim period. The approved rates should be effective for service rendered as of the stamped approval date on the tariff sheets, pursuant to Rule 25-30.475(1)(a), F.A.C. The rates should not be implemented until staff verifies that the tariff sheets are consistent with the Commission decision, the proposed customer notice is adequate, and the required security has been filed. The Utility should provide proof of the date notice was given within 10 days after the date of notice.

APPROVED

Vote Sheet

November 30, 2010

Docket No. 100127-WS – Application for increase in water and wastewater rates in Marion County by Tradewinds Utilities, Inc.

(Continued from previous page)

Issue 4: What is the appropriate security to guarantee the interim increase?

Recommendation: The Utility should be required to open an escrow account or file a surety bond or letter of credit to guarantee any potential refund of revenues collected under interim conditions. If the security provided is an escrow account, the Utility shall deposit 38.02 percent of water revenues and 9.03 ~~9.92~~ percent of wastewater ~~water~~ revenues into the escrow account each month. Otherwise, the surety bond or letter of credit should be in the amount of \$56,265. Pursuant to Rule 25-30.360(6), F.A.C., the Utility should provide a report by the 20th of each month indicating the monthly and total revenue collected subject to refund. Should a refund be required, the refund should be with interest and in accordance with Rule 25-30.360, F.A.C.

APPROVED *with changes per oral modification*

Issue 5: Should this docket be closed?

Recommendation: No. The docket should remain open pending the Commission's PAA decision on the Utility's requested rate increase.

APPROVED

Ann Cole

From: Tim Devlin
Sent: Monday, November 29, 2010 8:14 AM
To: Ann Cole
Cc: Chuck Hill; Mary Anne Helton; Marshall Willis; Bart Fletcher; Commissioners Advisors
Subject: FW: Request for Oral Modification for Item No. 15, November 30, 2010, Commission Conference, Docket No. 100127-WS - Tradewinds Utilities, Inc.

From: Tim Devlin
Sent: Monday, November 29, 2010 8:05 AM
To: Andrew Maurey; Marshall Willis; Mary Anne Helton
Cc: Cheryl Bulecza-Banks; Patti Daniel; Mark Cicchetti; Connie Davis; Ralph Jaeger; Jennifer Crawford; Bart Fletcher; Stan Rieger
Subject: RE: Request for Oral Modification for Item No. 15, November 30, 2010, Commission Conference, Docket No. 100127-WS - Tradewinds Utilities, Inc.

Approved.

From: Andrew Maurey
Sent: Wednesday, November 24, 2010 3:07 PM
To: Tim Devlin; Marshall Willis; Mary Anne Helton
Cc: Cheryl Bulecza-Banks; Patti Daniel; Mark Cicchetti; Connie Davis; Ralph Jaeger; Jennifer Crawford; Bart Fletcher; Stan Rieger
Subject: FW: Request for Oral Modification for Item No. 15, November 30, 2010, Commission Conference, Docket No. 100127-WS - Tradewinds Utilities, Inc.
Importance: High

From: Bart Fletcher
Sent: Wednesday, November 24, 2010 2:59 PM
To: Andrew Maurey
Cc: Bart Fletcher
Subject: Request for Oral Modification for Item No. 15, November 30, 2010, Commission Conference, Docket No. 100127-WS - Tradewinds Utilities, Inc.
Importance: High

Item 15 involves staff's recommendation to suspend final requested rates and to approve an interim rate increase for Tradewinds Utilities, Inc. Staff requests approval to make an oral modification to the recommendation paragraph and staff analysis for Issue 4, on Page 8, and the first paragraph on Page 9 in the staff analysis section. The requested modification is to reflect the correct percentages of water and wastewater revenues to deposit in an escrow account in case the Utility chooses this option to secure the collection of interim rates. This requested modification has no other effects on Staff's recommendation. The four changes are in type and strike format, as well as highlighted in yellow, below:

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11/29/2010

**CLK AGENDA
STAFF COPIED**

DOCUMENT NUMBER DATE

09598 NOV 29 2010

FPSC-COMMISSION CLERK

Issue 4: What is the appropriate security to guarantee the interim increase?

Recommendation: The Utility should be required to open an escrow account or file a surety bond or letter of credit to guarantee any potential refund of revenues collected under interim conditions. If the security provided is an escrow account, the Utility shall deposit 38.02 percent of water revenues and 9.03 ~~9.92~~ percent of wastewater ~~water~~ revenues into the escrow account each month. Otherwise, the surety bond or letter of credit should be in the amount of \$56,265. Pursuant to Rule 25-30.360(6), F.A.C., the Utility should provide a report by the 20th of each month indicating the monthly and total revenue collected subject to refund. Should a refund be required, the refund should be with interest and in accordance with Rule 25-30.360, F.A.C. (Fletcher, Davis)

Page 9 Requested Changes

If the security provided is an escrow account, the Utility shall deposit 38.02 ~~61.35~~ percent of water revenues and 9.03 ~~9.92~~ percent of wastewater revenues into the escrow account each month. The escrow agreement should also state that if a refund to the customers is required, all interest earned on the escrow account should be distributed to the customers, and if a refund to the customers is not required, the interest earned on the escrow account should revert to the Utility.