

State of Florida



Public Service Commission

CAPITAL CIRCLE OFFICE CENTER • 2540 SHUMARD OAK BOULEVARD
TALLAHASSEE, FLORIDA 32399-0850

-M-E-M-O-R-A-N-D-U-M-

DATE: October 22, 2020

TO: Adam J. Teitzman, Commission Clerk, Office of Commission Clerk

FROM: Sevini K. Guffey, Public Utility Analyst III, Division of Economics *S.K.G.*

RE: Docket No. 20200193-PU: Proposed amendment of Rules 25-6.043, 25-7.039, 25-22.0406, 25-22.0407, 25-30.436, and 25-30.437, and repeal of Rules 25-30.438, 25-30.4385, 25-30.440, and 25-30.443, F.A.C., concerning notice, public information, and minimum filing requirements for electric, gas, water, and wastewater applications for rate increase.

Please place the attached Statement of Estimated Regulatory Costs data request to the water and wastewater utilities in the docket file.

Thank you.

Sevini Guffey

From: Sevini Guffey
Sent: Tuesday, October 20, 2020 1:10 PM
To: 'Martin S. Friedman'; 'Troy Rendell'; 'Mike Smallridge'
Cc: Tripp Coston
Subject: Docket No. 20200193-PU -- SERC Data Request
Attachments: 25-22.0407 draft.docx; 25-30.436 - draft .docx; 25-30.437 draft.docx; Copy of Copy of Schedule E-6 for Class C.xlsx; Copy of Schedule E-14 for Class A.xlsx; Copy of Schedule E-14 for Class B.xlsx

Dear All:

By this email, the Commission staff is requesting your utility company's input on potential cost impacts that might result from proposed revisions to Rule 25-22.0407, Florida Administrative Code (F.A.C.), Notice of and Public Information for General Rate Increase Requests by Water and Wastewater Utilities, Rule 25-30.436, F.A.C., General Information and Instructions Required of Water and Wastewater Utilities in an Application for Rate Increase, and Rule 25-30.437, F.A.C., Financial, Rate, and Engineering Minimum Filing Requirements for Water and Wastewater Utilities in an Application for Rate Increase. Most recent draft rules and schedules are attached.

Pursuant to Section 120.54(3)(b)1, Florida Statutes, agencies are encouraged to prepare a statement of estimated regulatory costs (SERC) before the adoption, amendment, or repeal of any rule. In order to prepare the SERC, staff includes the questions which pertain to the proposed rule changes, as attached.

Please provide your responses to the following two questions:

- 1) What are the estimated incremental cost impacts to implement, and comply with, the proposed modifications to Rule 25-22.0407, F.A.C. Please explain.
- 2) What are the estimated incremental cost impacts to implement, and comply with, the proposed modifications to Rule 25-30.436, F.A.C. Please explain.
- 3) What are the estimated incremental cost impacts to implement, and comply with, the proposed modifications to Rule 25-30.437, F.A.C. Please explain.

In order for staff to prepare the SERC for the December 2020 Commission Conference Agenda, staff is requesting that all responses be filed electronically no later than October 27, 2020, via the Commission's website at www.floridapsc.com by selecting the Clerk's Office tab and Electronic filing Web Form. Please contact me if you have any concerns regarding this data request.

Thank you.
Sevini

Sevini K. Guffey
Public Utility Analyst III
Division of Economics
Florida Public Service Commission
Email: sguffey@psc.state.fl.us

Phone: 850.413.6204

1 **25-22.0407 Notice of and Public Information for General Rate Increase Requests by**
2 **Water and Wastewater Utilities.**

3 (1) This rule applies to all requests for general rate increases made by water and
4 wastewater utilities.

5 (2) Upon filing a petition for a general rate increase, the utility must notify ~~shall mail a~~
6 ~~copy of the petition to the~~ chief executive officer of the governing body of each municipality
7 and county within the service areas included in the rate request that the utility has petitioned
8 for a general rate increase and must clearly identify the Commission-assigned docket number.

9 The notification must ~~Each copy of the petition shall~~ be accompanied by a statement that a
10 copy of the petition and Mminimum Ffiling Rrequirements (MFRs) ~~when accepted by the~~
11 ~~Commission can be accessed on the Commission's website obtained from the petitioner upon~~
12 ~~request.~~

13 (3) ~~Within 30 days after the official date of filing established by the Commission, the~~
14 ~~utility shall place a copy of the petition and the MFRs at its official headquarters and at any~~
15 ~~business offices it has in the service areas included in the rate request. Such copies shall be~~
16 ~~have a business office in a service area included in its rate request, the utility shall place a~~
17 ~~copy of the petition and the MFRs at the main county library, the local community center or~~
18 ~~other appropriate location which is within or most convenient to the service area and which is~~
19 ~~willing to accept and provide public access to the copies. If the Commission determines that~~
20 ~~these locations will not provide adequate access, the Commission will require that copies of~~
21 ~~the petition and MFRs be placed at other specified locations.~~

22 ~~(4)(a) Within 30 days after the official date of filing established by the Commission, the~~
23 ~~utility shall place a copy of its rate case synopsis at all locations where copies of the petition~~
24 ~~and MFRs were placed.~~

25 (3)(a)(b) Within 30 days after the official date of filing established by the Commission, the

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1 utility must notify ~~shall mail a copy of its rate case synopsis to~~ the chief executive officer of
2 the governing body of each municipality and county within the service areas included in the
3 rate request that the utility's rate case synopsis can be accessed on the Commission's website.

4 (b)(e) The utility's rate case synopsis must ~~shall~~ be approved by the Commission staff
5 prior to distribution and must ~~shall~~ include the following:

6 1. A summary of the section of the MFRs showing a comparison of the present and
7 proposed rates and charges:

8 2. A statement of the general reasons for the rate request,

9 3. A statement of any anticipated major issues involved in the rate case,

10 4. A description of the ratemaking process and the time schedule established for the rate
11 case; and,

12 5. A statement that the MFRs can be accessed on the Commission's website. ~~The locations~~
13 ~~where complete MFRs are available.~~

14 (4)(5)(a) Within 50 days after the official date of filing established by the Commission, the
15 utility must ~~shall~~ provide, in writing, an initial customer notice to all customers within the
16 service areas included in the rate request and to all persons in the same service areas who have
17 filed a written request for service or who have been provided a written estimate for service
18 within the 12 calendar months prior to the month the petition is filed.

19 (b) The initial customer notice must ~~shall~~ be approved by Commission staff prior to
20 distribution and must ~~shall~~ include the following:

21 1. The date the notice was issued,

22 2. A statement that the utility has filed a rate request with the Commission and a statement
23 of the general reasons for the request,

24 3. A statement that ~~of the locations where copies of~~ the MFRs, petition, and rate case
25 synopsis are available on the Commission's website ~~for public inspection and the hours and~~

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1 | ~~days when inspection may be made,~~

2 | 4. The time schedule established for the case, including the dates, times, and locations of
3 | any hearings scheduled,

4 | 5. A comparison of current rates and charges and the proposed new rates and charges,

5 | 6. The utility's address, telephone number, and business hours,

6 | 7. A statement that written comments regarding utility service or the proposed rates and
7 | charges should be addressed to the Office of Commission Clerk, 2540 Shumard Oak
8 | Boulevard, Tallahassee, Florida 32399-0850, and that such comments should identify the
9 | docket number assigned to the proceeding,

10 | 8. A statement that complaints regarding service may be made to the Commission's Office
11 | of Consumer Assistance and Outreach at the following toll-free number: 1(800)342-3552; and,

12 | 9. If the utility has not requested a change in its service availability charges as part of its
13 | rate request, a statement that the Commission will be reviewing the utility's service
14 | availability charges in the pending rate case and that the Commission may adjust those
15 | charges.

16 | 10. The docket number assigned by the Commission's Office of Commission Clerk.

17 | (c) The initial customer notice must ~~shall~~ be mailed to the out-of-town address of all
18 | customers who have provided the utility with an out-of-town address.

19 | ~~(5)(6)~~(a) No less than 14 days and no more than 30 days prior to the date of each service
20 | hearing, in those cases where the Commission has scheduled a service hearing, the utility must
21 | ~~shall~~ provide written notice of the date, time, location, and purpose of the service hearing to all
22 | customers within service areas designated by the prehearing officer or the Commission staff.
23 | The notice must ~~shall~~ be approved by the Commission staff prior to distribution. The notice
24 | must ~~shall~~ be mailed to the out-of-town address of all customers who have provided the utility
25 | with an out-of-town address.

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1 (b) No less than 14 days and no more than 30 days prior to the date of the hearing, in all
2 cases, including those in which the Commission has scheduled a service hearing, the utility
3 must shall provide written notice of the date, time, location, and purpose of the hearing to all
4 customers within the service areas included in the rate request. The notice must shall be
5 approved by Commission staff prior to distribution. The notice must shall be mailed to the
6 out-of-town address of all customers who have provided the utility with an out-of-town
7 address.

8 ~~(6)(7)~~ No less than 14 days and no more than 30 days prior to the date of each hearing held
9 in or near a utility service area included in the rate request, the utility must shall have
10 published in a newspaper of general circulation in the area in which such hearing is to be held
11 a display advertisement stating the date, time, location, and purpose of the hearing. The notice
12 must shall be approved by Commission staff prior to publication.

13 ~~(7)(8)~~ When a utility files ~~for~~ a petition for a general rate increase and requests that its case
14 be processed as proposed agency action in accordance with Section 367.081(10), F.S., the
15 utility must shall comply with the requirements of subsections (2), (3), and (4) ~~and (5)~~, of this
16 rule.

17 (a) No less than 14 days and no more than 30 days prior to the date of a customer meeting
18 conducted by the Commission staff, the utility must shall provide written notice of the date,
19 time, location, and purpose of the customer meeting to all customers within service areas
20 designated by the Commission staff. The notice must shall be approved by Commission staff
21 prior to distribution. The notice must shall be mailed to the out-of-town address of all
22 customers who have provided the utility with an out-of-town address.

23 (b) If the proposed agency action order issued in the case is protested and any hearings are
24 subsequently held, the utility must shall give notice in accordance with subsections (5) and (6)
25 ~~and (7)~~, above.

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1 ~~(8)~~⁽⁹⁾ When a utility applies for a staff-assisted rate case in accordance with Section
2 367.0814, F.S., and Rule 25-30.455, F.A.C., and staff-assistance is granted, the requirements
3 of subsections (2), (3), and (4) ~~and (5)~~, of this rule, do ~~shall~~ not apply.

4 ~~(a)~~ Upon receipt of the staff reports, the utility shall place two copies of its application for
5 staff assistance and the staff reports at any business offices it has in its service area. Such
6 copies shall be available for public inspection during the utility's regular business hours. If the
7 utility does not have a business office in its service area, the utility shall place two copies of its
8 application and the staff reports at the main county library, the local community center or
9 other appropriate location that is within or most convenient to the service area and that is
10 willing to accept and provide public access to the copies.

11 ~~(a)~~^(b) No less than 14 days and no more than 30 days prior to the date of a customer
12 meeting conducted by the Commission staff, the utility must ~~shall~~ provide, in writing, a
13 customer meeting notice to all customers within its service area and to all persons in the same
14 service areas who have filed a written request for service or who have been provided a written
15 estimate for service within the 12 calendar months prior to the month the petition is filed.

16 ~~(b)~~^(c) The customer meeting notice must ~~shall~~ be approved by the Commission staff prior
17 to distribution and must ~~shall~~ include the following:

- 18 1. The date the notice was issued.
- 19 2. The time, date, location, and purpose of the customer meeting.
- 20 3. A statement that the utility has applied for a staff-assisted rate case and the general
21 reasons for doing so.
- 22 4. A statement that the Commission staff has prepared a staff report of its initial analysis of
23 the case.

24 5. 4. The website address where the staff report is available. ~~A statement of the location~~
25 ~~where copies of the application and the staff reports are available for public inspection and the~~

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1 ~~times during which inspection may be made.~~

2 ~~6.5.~~ A comparison of current rates and charges and the proposed new rates and charges.

3 ~~7.6.~~ The utility's address, telephone number, and business hours.

4 ~~8.7.~~ A statement that written comments regarding utility service or the proposed rates and
5 charges should be addressed to the Office of Commission Clerk, 2540 Shumard Oak
6 Boulevard, Tallahassee, Florida 32399-0850, and that such comments should identify the
7 docket number assigned to the proceeding.

8 ~~9.8.~~ A statement that complaints regarding service may be made to the Commission's
9 Office of Consumer Assistance and Outreach at the following toll-free number: 1(800)342-
10 3552.

11 ~~10.9.~~ A statement that the Commission will be reviewing the utility's service availability
12 charges in the pending case and that the Commission may adjust those charges.

13 ~~11.10.~~ The docket number assigned by the Commission's Office of Commission Clerk.

14 (d) The customer meeting notice must ~~shall~~ be mailed to the out-of-town address of all
15 customers who have provided the utility with an out-of-town address.

16 (e) If the proposed agency action order issued in the case is protested and any hearings are
17 subsequently held, the utility must ~~shall~~ give notice in accordance with subsections (5) and (6)
18 ~~and (7)~~, above.

19 ~~(9)(10)~~ After the Commission issues an order granting or denying a rate change, the utility
20 must ~~shall~~ notify its customers of the order and any revised rates. The customer notification
21 must ~~shall~~ be approved by Commission staff and be distributed no later than with the first bill
22 containing any revised rates.

23 *Rulemaking Authority 350.127(2), 367.121(1)(f) FS. Law Implemented 120.569, 120.57,*
24 *367.081(2)(a), 367.0814(1), 367.0817, 367.091, 367.121(1)(a) FS. History—New 5-27-93,*
25 *Amended 5-3-99,_____.*

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1 **25-30.436 General Information and Instructions Required of ~~Class A and B~~ Water**
2 **and Wastewater Utilities in an Application for Rate Increase.**

3 (1) Each applicant for a rate increase must ~~shall~~ provide the following general information
4 to the Commission:

5 (a) The name of the applicant as it appears on the applicant's certificate and the address of
6 the applicant's principal place of business.

7 (b) The type of business organization under which the applicant's operations are
8 conducted; if the applicant is a corporation, the date of incorporation; the names and addresses
9 of all persons who own 5 percent or more of the applicant's stock or the names and addresses
10 of the owners of the business.

11 (c) The number of the Commission order, if any, which previously considered the
12 applicant's rates for the system(s) involved.

13 (d) The address within the service area where the application is available for customer
14 inspection during the time the rate application is pending.

15 (e) Where the utility requests rates which generate less than a fair rate of return, it must
16 provide a statement of assurance that its quality of service will not suffer.

17 (f) A statement ~~An affidavit~~ signed by an officer of the utility that states that the utility will
18 comply with Rule 25-22.0407, F.A.C.

19 (g) A statement whether the applicant requests to have the case processed using the
20 proposed agency action procedure outlined in Section 367.081(10) ~~367.081(8)~~, F.S.

21 (2) The applicant's petition for rate relief will not be deemed filed until the appropriate
22 filing fee has been paid and all minimum filing requirements set forth in this rule and in Rule
23 25-30.437, F.A.C., have been met, including filing of the applicant's prepared direct testimony
24 unless the applicant has filed its petition pursuant to Section 367.081(10) ~~367.081(8)~~, F.S. At a
25 minimum, the direct testimony shall explain why the rate increase is necessary and address

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1 those areas anticipated at the time of filing to be at issue.

2 (3) The applicant must ~~shall~~ state any known deviation from the policies, procedures and
3 guidelines prescribed by the Commission in relevant rules or in the company's last rate case.

4 (4) The rate case application and information required by this rule must be e-filed by the
5 utility with the Office of Commission Clerk. Within seven calendar days after the electronic
6 filing, the utility must provide to the Office of Commission Clerk ten paper copies of the
7 filing, clearly labeled "COPY," and, as applicable, Commission Form PSC 1028 (XX/XX) for
8 a Class A utility, Form PSC 1029 (XX/XX) for a Class B utility, or Schedule B-7 of
9 Commission Form PSC 1030 (XX/XX) for a Class C utility, which are incorporated by
10 reference in Rule 25-30.437, F.A.C. For Class A and B utilities, only two copies of Schedule
11 E-14, entitled Billing Analysis Schedules, are required. For Class C utilities, only two copies
12 of Schedule E-6, entitled Billing Analysis Schedules, are required. The applicable
13 Commission Form must be provided in Microsoft Excel format with formulas intact and
14 unlocked. Excel files may be provided in media such as a USB flash drive, CD, or DVD, but
15 may not be submitted by e-mail.

16 (5) In the rate case application:

17 (a) Each schedule must ~~shall~~ be cross-referenced to identify related schedules as either
18 supporting schedules or recap schedules.

19 (b) Each page of the filing must ~~shall~~ be consecutively numbered on 8.1/2 x 11-inch paper.

20 (c) Except for handwritten official company records, all data in the petition, exhibits and
21 minimum filing requirements must ~~shall~~ be typed.

22 ~~(d) Sixteen copies shall be filed with the Commission's Office of Commission Clerk,~~
23 ~~except as specifically identified in paragraph (4)(h) below or in Rule 25-30.437, 25-30.4385 or~~
24 ~~25-30.440, F.A.C.~~

25 ~~(d)(e) Any proposed~~ Whenever the applicant proposes any corrections, updates or other

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1 changes to the originally filed data must be e-filed by the utility, ~~20 copies shall be filed~~ with
2 the Office of Commission Clerk. Within seven calendar days after the electronic filing, the
3 utility must provide to the Office of Commission Clerk ten paper copies of the filing, clearly
4 labeled "COPY." Any schedules that have been changed must be provided in Microsoft Excel
5 format with formulas intact and unlocked. Excel files may be provided in media such as a
6 USB flash drive, CD, or DVD, but may not be submitted by e-mail. On the same day as the e-
7 filing, the utility must serve an electronic copy of the filing on each party. ~~with copies also~~
8 ~~served on all parties of record at the same time.~~

9 (e)(f) If the capital structure contains zero or negative equity, a return on equity must shall
10 be requested, which shall be up to the maximum of the return of the current equity leverage
11 formula established by order of this Commission pursuant to Section 367.081(4), F.S.

12 (f)(g) The provisions of Rule 25-30.433, F.A.C., must shall be followed in preparing the
13 utility's application.

14 (g)(h) Any system that has costs allocated or charged to it from a parent, affiliate or related
15 party, in addition to those costs reported on Schedule B-12 of Commission Form PSC 1028
16 ~~(XX/XX) PSC/AFD-19-W~~ for a Class A utility, ~~or PSC 1029 (XX/XX) PSC/AFD-20-W~~ for a
17 Class B utility, or PSC 1030 (XX/XX) for a Class C utility, which are ~~(incorporated by~~
18 ~~reference in Rule 25-30.437, F.A.C.,)~~ must e-file shall file three copies of additional
19 schedules that show the following information:

20 1. The total costs being allocated or charged prior to any allocation or charging as well as
21 the name of the entity from which the costs are being allocated or charged and its relationship
22 to the utility.

23 2. For costs allocated or charged to the utility in excess of one percent of test year
24 revenues:

25 a. A detailed description and itemization; and

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- 1 b. The amount of each itemized cost.
- 2 3. The allocation or direct charging method used and the bases for using that method.
- 3 4. The workpapers used to develop the allocation method, including but not limited to the
- 4 numerator and denominator of each allocation factor.
- 5 5. The workpapers used to develop, where applicable, the basis for the direct charging
- 6 method.
- 7 6. An organizational chart of the relationship between the utility and its parent and
- 8 affiliated companies and the relationship of any related parties.
- 9 7. A copy of any contracts or agreements between the utility and its parent or affiliated
- 10 companies for services rendered between or among them.

11 (h)(i) For any land recorded on the utility's books since rate base was last established, the

12 utility shall file documentation of the utility's right to access and continue use of the land upon

13 which the utility treatment facilities are or will be located. Documentation of continued use

14 must be in the form of a recorded warranty deed, recorded quit claim deed accompanied by

15 title insurance, recorded lease such as a 99-year lease, or recorded easement. The applicant

16 may submit an unrecorded copy of the instrument granting the utility's right to access and

17 continued use of the land upon which the utility treatment facilities are or will be located,

18 provided the applicant files a recorded copy within the time required in the order granting a

19 rate increase. ~~copies of the documents that demonstrate that the utility owns the land upon~~

20 ~~which the utility treatment facilities are located, or that provides for the continued use of the~~

21 ~~land, such as a 99-year lease. The Commission may consider a written easement or other cost-~~

22 ~~effective alternative.~~

23 (6)(5) The Director of the division that has been assigned the primary responsibility for the

24 filing is Commission Designee. ~~The Deputy Executive Director, Technical shall be the~~

25 designee of the Commission for purposes of determining whether the utility applicant has met

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1 the minimum filing requirements imposed by this rule.

2 ~~(7)(6)~~ Within 60 days after the issuance of a final order entered in response to an
3 application for increased rates, or, if applicable, within 60 days after the issuance of an order
4 entered in response to a motion for reconsideration of the final order, each utility must shall
5 submit a breakdown of actual rate case expense incurred, in total, in a manner consistent with
6 Schedule No. B-10 of Commission Form PSC 1028 (XX/XX) for Class A utilities, Form PSC
7 1029 (XX/XX) for Class B utilities, and Schedule B-7 of Commission Form PSC 1030
8 ~~(XX/XX)~~ for Class C utilities, which are incorporated by reference ~~(PSC/AFD Form 19-W or~~
9 ~~20-W, whichever is applicable, as described in Rule 25-30.437, F.A.C.)~~. If the deadline
10 prescribed above cannot be met, a utility may request an extension from ~~shall be granted by~~
11 the Director of the Division of Accounting and Finance for good cause shown, such as
12 financial hardship, severe illness, or significant weather events such as hurricanes, but good
13 cause does not include reasons such as management oversight or vacation time.

14 *Rulemaking Authority 350.127(2), 367.121 FS. Law Implemented 367.081, 367.083, 367.121*
15 *FS. History—New 11-10-86, Amended 6-25-90, 11-30-93, 1-31-00, _____.*

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1 **25-30.437 Financial, Rate, and Engineering Minimum Filing Requirements**
2 **Information Required ~~for~~ of Class A and B Water and Wastewater Utilities in an**
3 **Application for Rate Increase.**

4 (1) Each ~~Class A or B~~ utility applying for a rate increase must ~~shall~~ provide the
5 information required by Commission Form PSC 1028 (XX/XX) PSC/AFD 19-W (11/93),
6 entitled “Class A Water and/or Wastewater Utilities Financial, Rate and Engineering
7 Minimum Filing Requirements,” ~~or~~ PSC 1029 (XX/XX) PSC/AFD 20-W (11/93), entitled
8 “Class B Water and/or Wastewater Utilities Financial, Rate and Engineering Minimum Filing
9 Requirements,” ~~or~~ PSC 1030 (XX/XX), entitled “Minimum Filing Requirements for Class C
10 Water and Wastewater Utilities.” whichever is applicable. Commission Form PSC 1028
11 (XX/XX) is available at <http://www.flrules.org/Gateway/reference.asp?No=Ref-PSC> ;
12 Commission Form PSC 1029 (XX/XX) is available at
13 <http://www.flrules.org/Gateway/reference.asp?No=Ref-PSC> ; and Commission Form PSC
14 1030 (XX/XX) is available at [hyperlink]. These forms are incorporated into this rule by
15 reference and are available on ~~may be obtained from~~ the Commission’s website at
16 www.floridapsc.com. ~~Director, Division of Accounting and Finance, Florida Public Service~~
17 ~~Commission, 2540 Shumard Oak Boulevard, Tallahassee, Florida 32399-0850.~~

18 (2) In compiling the required schedules, additional instructions are set forth below:

19 ~~(a)(1)~~ Each section of this form must ~~shall~~ be indexed and tabbed, including a table of
20 contents listing the page numbers of each schedule.

21 ~~(b)(2)~~ If information requested in the form described above is not applicable to the
22 applicant, so state and provide an explanation on the specific schedule.

23 ~~(c)(3)~~ If a projected test year is used, provide a complete set of Commission Form PSC
24 1028 (XX/XX) PSC/AFD 19-W (for Class A utilities), ~~or~~ PSC 1029 (XX/XX) PSC/AFD 20-
25 W (for Class B utilities), ~~or~~ PSC 1030 (XX/XX) for Class C utilities (as described above),

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1 | which require a designation of historical or projected information. Such schedules must ~~shall~~
2 | be submitted for the historical base year, and any year subsequent to the base year and prior to
3 | the projected test year, in addition to the projected test year. If no designation is shown on a
4 | schedule, submit that schedule for the test year only. In lieu of providing separate pages for
5 | the above required schedules, the information required can be combined on the same page by
6 | adding additional columns. In the rate base schedules, Section A, the beginning and end of
7 | year balances must ~~shall~~ be shown. For any intermediate period or year, only the year-end
8 | balance must ~~shall~~ be shown. A schedule must ~~shall~~ also be included which describes in detail
9 | all methods and bases of projection, explaining the justification for each method or basis
10 | employed. If an historical test year is used, Schedule E-13 is not required.

11 | ~~(4) Only two copies of Schedule E-14, entitled Billing Analysis Schedules, be filed with~~
12 | ~~the application. Each copy shall be submitted in a separate binder from the other required~~
13 | ~~information.~~

14 | ~~(d)(5)~~ If a petition for interim rates is filed, a utility must ~~shall~~ demonstrate that it is
15 | earning outside the range of reasonableness on rate of return calculated in accordance with
16 | Section 367.082(5), F.S. To demonstrate this ~~In doing such~~, the utility must ~~shall~~ submit
17 | schedules of rate base, cost of capital and net operating income on an historical basis, with
18 | schedules of all adjustments thereto, consistent with Commission Form PSC 1028 (XX/XX)
19 | ~~PSC/AFD-19-W~~ (for a Class A utility), or PSC 1029 (XX/XX) ~~PSC/AFD-20-W~~ (for a Class B
20 | utility), or PSC 1030 (XX/XX) for a Class C utility (described above).

21 | (3) Each applicant for a rate increase must e-file with the Office of Commission Clerk the
22 | additional engineering minimum filing requirements (MFRs), identified in paragraphs (a) – (k)
23 | below. Within seven calendar days after e-filing the additional engineering MFRs, the utility
24 | must provide to the Office of Commission Clerk two paper copies of the additional
25 | engineering MFRs clearly labeled “COPY,” with the exception of the detailed map required

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1 by paragraph (a), of which only one copy is required.

2 (a) A detailed map showing:

3 1. The location and size of the applicant's distribution and collection lines as well as its
4 plant sites, and

5 2. The location and respective classification of the applicant's customers.

6 (b) A list of chemicals used for water and wastewater treatment, by type, showing the
7 dollar amount and quantity purchased, the unit prices paid and the dosage rates utilized.

8 (c) The most recent chemical analyses for each water system conducted by a certified
9 laboratory covering the inorganic, organic turbidity, microbiological, radionuclide, secondary
10 and unregulated contaminants specified in Chapter 62-550, F.A.C.

11 (d) All water and wastewater plant operating reports for the test year and the year
12 preceding the test year.

13 (e) The most recent sanitary survey for each water plant and inspection report for each
14 wastewater plant conducted by the health department or the Department of Environmental
15 Protection (DEP).

16 (f) All health department and DEP construction and operating permits.

17 (g) Any Notices of Violation, Consent Orders, Letters of Notice, or Warning Notices from
18 the health department or DEP in the previous five years.

19 (h) A list of all field employees, their duties, responsibilities, and certificates held, and an
20 explanation of each employee's salary allocation method to the utility's capital or expense
21 accounts.

22 (i) A list, by serial number and description, of all vehicles owned or leased by the utility
23 showing the original cost or annual lease expense, who the vehicle is assigned to, and the
24 method of allocation to the utility.

25 (j) A list, by customer, of all complaints received during the test year, with an explanation

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1 of how each complaint was resolved.

2 (k) A copy of all customer complaints that the utility has received regarding DEP
3 secondary water quality standards during the past five years.

4 (4) If a utility is requesting uniform rates for systems that are not already combined in a
5 uniform rate, the information required by this rule must be submitted on a separate basis for
6 each system that has not already been combined in a uniform rate. For those systems already
7 combined in a uniform rate, the utility must ~~should~~ submit the required information as a single
8 system. At a minimum, the following schedules of Form PSC 1030 (XX/XX) , described
9 above, must be filed on a combined basis for all systems included in the filing: A-1, A-2, A-3,
10 A-16, B-1, B-2, B-3, B-4, B-5, B-10, B-11, B-12, plus all "C," "D" and "E" schedules (no "F"
11 schedules are required).

12 (5)(6) In proposing rates, ~~each~~ the utility ~~must~~ shall use the base facility and usage charge

13 rate structure, unless an alternative rate structure is adequately supported by the applicant. The

14 base facility charge incorporates fixed expenses of the utility and is a flat monthly charge.

15 This charge is applicable as long as a person is a customer of the utility, regardless of whether

16 there is any usage. The usage charge incorporates variable utility expenses and is billed on a

17 per 1,000 gallon or 100 cubic feet basis in addition to the base facility charge. The rates are

18 first established with the 5/8" x 3/4" meter as the foundation. For meter sizes larger than 5/8",

19 the base facility charge shall be based on the usage characteristics.

20 *Rulemaking Authority 350.127(2), 367.0812(5), 367.121 FS. Law Implemented 367.081,*
21 *367.0812, 367.082 FS. History—New 6-10-75, Amended 10-16-77, 3-26-81, Formerly 25-*
22 *10.176, Amended 11-10-86, 6-25-90, 11-30-93, _____.*

23
24
25
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from existing law.

Company:
 Docket No.:
 Test Year Ended:
 Water [] or Sewer []
 Customer Class:
 Meter Size:

Schedule: E-6
 Page ___ of ___
 Preparer:

Explanation: Provide a billing analysis for each the residential class of service, including any separately metered irrigation, by meter size. For applicants having a master metered multiple dwellings, provide number of bills at each level by meter size or number of bills categorized by the number of units. Round consumption to nearest 1,000 gallons and begin at zero. If a rate change occurred during the test year, provide a separate billing analysis which coincides with each period.

(1)	(2)
Consumpt. Level	Number of Bills
0	
1	
2	
3	

Company:
 Docket No.:
 Test Year Ended:
 Water [] or Sewer []
 Customer Class:
 Meter Size:

Schedule: E-14
 Page ___ of ___
 Preparer:

Explanation: Provide a billing analysis for each class of service by meter size. For applicants having master metered multiple dwellings, provide number of bills at each level by meter size or number of bills categorized by the number of units. Round consumption to nearest 1,000 gallons & begin at zero. If a rate change occurred during the test year, provide a separate billing analysis which coincides with each period.

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
Consumpt. Level	Number of Bills	Cumulative Bills	Gallons Consumed (1)x(2)	Cumulative Gallons	Reversed Bills	Consolidated Factor [(1)x(6)]+(5)	Percentage of Total
0							
1							
2							
3							

Company:
 Docket No.:
 Test Year Ended:
 Water [] or Sewer []
 Customer Class:
 Meter Size:

Schedule: E-14
 Page ___ of ___
 Preparer:

Explanation: Provide a billing analysis for each class of service by meter size. For applicants having master metered multiple dwellings, provide number of bills at each level by meter size or number of bills categorized by the number of units. Round consumption to nearest 1,000 gallons & begin at zero. If a rate change occurred during the test year, provide a separate billing analysis which coincides with each period.

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
Consumpt. Level	Number of Bills	Cumulative Bills	Gallons Consumed (1)x(2)	Cumulative Gallons	Reversed Bills	Consolidated Factor [(1)x(6)]+(5)	Percentage of Total
0							
1							
2							
3							